

INDEX

A

- Accounting and Corporate Regulatory Authority of Singapore, 222
- agricultural sector, 280, 335
- American Chambers of Commerce, 262
- anti-competitive behaviour, 50, 56–58, 70–75, 77, 80–82, 165, 334, 338
- Asia-Pacific Economic Cooperation (APEC)
 - competition and franchise laws, 163–64
 - competition regulators, 87, 98, 99
 - education tools, 106
 - franchises and, 161–62
 - per se* prohibitions, 140
 - small businesses, 90
 - SME policy, goal and purpose of, 52
- Asian capitalism, 220
- Australia
 - authorization process
 - collective bargaining, 131–32
 - overview of, 130
 - public benefit test, 131
 - “small business”, 132–33
 - business associations in, 197
 - Competition and Consumer Act 2010. *See* Competition and Consumer Act (CCA), Australia
 - competition regulators, 94–96
 - Council of Small Business Australia, 199
 - Council of Small Business Organisations of Australia (COSBOA), 86
 - Federal Court of Australia Act 1976, 203
 - Franchising Code of Conduct, 114, 160, 169
 - franchisors in, 167, 169
 - notification process
 - collective bargaining, 135–37
 - legal test for, 133
 - revocation, 135
 - types of, 134
 - per se* regime, 128–29
 - Productivity Commission, 86, 89–91, 94, 100
 - statutory immunity in, 130

- Australian Bureau of Statistics (ABS), 44, 196
- Australian Chamber of Commerce and Industry (ACCI), 196, 199
- Australian Competition and Consumer Commission (ACCC)
- authorizations and notifications, 201
 - collective bargaining proposals, 201
 - enforcement action, 137–39
 - online education strategy, 113–16
 - Small Business and the Competition and Consumer Act: Your Rights and Responsibilities*, 95
 - Small Business in Focus*, 201
 - vs. Tasmanian Salmonid Growers Association*, 204
- Australian Competition Tribunal, 140
- Australian Government's East Asia Analytical Unit, 186
- Australian Newsagents' Federation, 202
- B**
- Better Business with Competition Compliance Programme*, 267
- bid-rigging, 59, 347, 348, 351
- Bundeskartellamt, German federal government competition agency, 112
- business groups, 183–86
- C**
- Canada, competition laws in, 2
- Canadian Competition Act (1985), 3, 88
- Cartel Awareness and Outreach, 112
- China
- Anti-Monopoly Law (AML)
 - enactment of, 330, 333–34, 337–38
 - impact of, 334
 - Price Law and, 339
 - General Principles of Civil Law, 330
 - Ministry of Commerce (MOFCOM), 335–36
 - Product Quality Law, 330
 - Protection of Consumer Rights and Interests Law (1993), 330
 - SME Promotion Law, 330
- Chinese family companies
- business organizations and practices, 178–80
 - crony capitalism, 177
 - economic theories, 180–83
 - family-owned business, 175, 176
 - market power. *See* market power
- Chinese small and medium-sized enterprise sector
- administrative court system, 334
 - collective behaviour, 335–37
 - definition of, 330, 332, 338
 - domestic market, 338
 - economic influences, 330–33
 - enforcement cases
 - National Development and Reform Commission (NDRC) cases, 337, 339–40
 - State Administration of Industry and Commerce (SAIC) cases, 340–42
- collaborative consumption, 57
- collective redress, 151
- collusion, 58, 59, 79, 80, 81, 82, 126, 129, 166, 187, 298, 315
- Commerce Commission (New Zealand), 100
- competition
- authorities. *See* competition regulators
 - chilling competition, 125, 140
 - concept of, 17, 18, 68–69

- Competition Commission of Singapore (CCS)
- anti-competitive agreements, 59
 - e-learning tool, 267
 - guidelines, 59–60, 169, 351
 - leniency programme, 269
 - outreach sessions, 264–365
 - philosophical approach, 220
 - publicity materials, 268
 - relationship managers, 268, 271
 - and Singapore Business Federation, 201
 - social media platforms, 268–69
 - successful enforcement, 263, 265–66, 270, 272
 - tolerant approach, 61
- Competition and Consumer Act (CCA), Australia
- authorization process, 130–33, 201
 - collective bargaining notification, 130, 135–36
 - Section 47, 130
 - Section 87B, 138
- competition enforcement
- attitude towards large enterprises, 146–48
 - creating level playing field, 148–49
 - disadvantages in, 143–44, 149–52
 - propensity, presumption of, 144–46
- Competition Law Conference, 293–94
- Competition Ordinance
- advent of, 348–50
 - in Hong Kong, 346–47
 - and small and medium-sized enterprises, 352–54
- competition policy
- aspect of, 50
 - enforcement agencies, 380
 - goal and purpose of, 52–55
 - in Hong Kong, 352
 - overview of, 65–66
 - Pacific islands countries. *See* Pacific island countries (PICs)
 - small to medium-sized enterprise policy *vs.*, 50, 52–55, 68–69
- Competition Policy Review Issues Paper, 200
- competition regulators
- ACCC. *See* Australian Competition and Consumer Commission (ACCC)
 - best practices, 93
 - Bundeskartellamt, 112
 - Commission for Supervision of Business Competition (KPPU), Indonesia, 169, 188, 189, 284, 286–89
 - Competition and Markets Authority, UK, 111–12
 - cooperative approach, 98
 - culture influences, 91–93
 - discretionary approach, 98
 - enforcement, 97–100
 - interactions of, 87–89, 94–97
 - Japan Fair Trade Commission (JFTC), 112, 233, 368
 - Korea Fair Trade Commission (KFTC), 249, 251, 255–56
 - Ministry of Commerce (MOFCOM), China, 335–36
 - MyCC. *See* Malaysia Competition Commission (MyCC)
 - overview of, 86–87
 - proactive approach, 98
 - United States Federal Trade Commission, 111
 - VCA. *See* Vietnam Competition Authority
- competition restriction cases, 322–24
- Consumer Protection Act, 296–97
- consumer protection laws, 376, 378
- crowdfunding, 57

D

de minimis, 153n1, 296, 353

Designation of Unfair Trade Practices, 235

E

Ease of Doing Business report, World Bank, 288

economic growth

in Asia-Pacific region, 19

definition of, 40

SMEs and, 38–42

Employment Relations Act, New Zealand, 44

European Commission (EC), 19, 353
European Small Business Portal, 111

F

Federation of Malaysian

Manufacturers (FMM), 201

firm size distribution, 38–39

First and First, Inc. v. Dunkin' Donuts (1990), 168

franchising

anti-competitive conduct, 165

Asia-Pacific Economic Cooperation economies, 161, 163

business format franchising, 157, 160

competition law and, intersection of, 160–65

definition of, 158–60

education strategy, 114, 115

factors for success/failure of, 170–72

franchisors, 158, 160

horizontal agreements, 166

master franchisees, 158, 162, 165

model of, 157, 160

vertical restraints

exclusive dealing, 166–67

mergers, 168

resale price maintenance, 167–68

third line forcing, 167

tying, 167

H

heterogeneous firms framework, 38

Hong Kong

Competition Policy Advisory Group, 350

competition policy in, 352, 355

Food and Environment Health Department (FEHD), 348

Property Owners' Alliance Against Bid Rigging, 355

small and medium-sized enterprises, 346, 348–50

Hong Kong Competition Commission (HKCC), 347, 354–55

Hong Kong Federation of Industries, 352

Hong Kong Special Administrative Region (HKSAR), 345, 347, 349

hypotheses

deriving, 69–74

testing, 74–82

I

Indonesia

competition law, 283–85

economic development, 282–83

micro, small and medium enterprises. *See* micro, small and medium enterprises (MSMEs)

National Competition (or Anti-Monopoly) Law, 283

P.T. Indomaret case, 286–87

Supervisory Commission for Business Competition (KPPU), 169, 188, 189, 284, 286–89

Infocomm Development Authority of Singapore, 51

information and communication technologies (ICTs), 295, 304

information-based product creation contracts, 360, 366

initial public offering (IPO), 336

Institute for the Defense of Competition and Intellectual Property (INDECOPI), 160

International Chamber of Commerce (ICC), 200

International Competition Network, 259–60

International Finance Corporation (IFC), 19

Involving Third Parties in Competition Act Investigations, 202

J

Japan

Act for Establishment of the Small and Medium Enterprise Agency, 232

Act on Prohibition of Private Monopolization and Maintenance of Fair Trade, 169

Ministry of Economy, Trade and Industry (METI), 112, 231

Mitsukoshi case, 239

Small and Medium-sized Enterprise Basic Act, 231, 233–34

small and medium-sized enterprises policy, 230–35

Subcontract Act

application of, 360, 365
broadcasting programme production, 364–68

television broadcasting industry, 361–63

Japan Fair Trade Commission (JFTC), 112, 233, 368

K

Korea

Act on the Promotion of Collaborative Cooperation between Large Enterprises and Small-Medium Enterprises (2014), 248

Act on the Promotion of Technology Innovation of Small and Medium Enterprises (2001), 247

Framework Act on Small and Medium Enterprises (1966), 245, 246

Promotion of Small and Medium Enterprises Act (1978), 247

Special Act on Support for Human Resources of Small and Medium Enterprises (2003), 247

Support for SME Establishment Act (1986), 247

Korea Fair Trade Commission (KFTC), 249, 251, 255–56

Korean small and medium enterprises

large conglomerates. *See* large conglomerate business groups laws and policies, 245–49

MRFTA. *See* Monopoly Regulation and Fair Trade Act (MRFTA) overview of, 243–44

L

large conglomerate business groups Fair Franchise Transactions Act, 255–56

Fair Transactions in Subcontracting Act, 253–54

- Large-scale Retail Fair Trade Practices Act, 254–55
 market power and, 183–86
 policies for, 248–49
 large enterprises (LEs), 147, 241, 280
- M**
- Malaysia
 Cameron Highlands Floriculturist Association, 298–99
 Fair Trade Practices Policy (FTPP), 296
 Federation of Malaysian Manufacturers (FMM), 201
 Land Public Transport Commission (SPAD), 300
 Malaysia Indian Hairdressing Saloon Owners Association (MIHSOA), 299
 Pan-Malaysian Lorry Owners Association (PMLOA), 299
 Sibü Confectionary and Bakery Association (SCBA), 300
 small and medium-sized enterprises
 competition law, 296–98
 definition of, 295
 overview of, 293–94
 Trade Descriptions Act, 297
 Malaysia Competition Commission (MyCC)
 cartel cases, 144–45
 Competition Law Conference, 293–94
 definition of, 293
 enforcement decision process, 301–2, 351–52
Guidelines on Anti-Competitive Agreements, 298
Handbook for the General Public, 302–3
Malaysia SME Magazine, 304
 Malaysian Competition Act, 170, 201
 Malaysian International Chamber of Commerce and Industry (MICCI), 196
 market power
 and conglomerates/business groups, 183–86
 networks, 187–89
 medium enterprises (MEs), 278–79
 mergers, 60, 61, 251, 373, 378
 micro, small and medium enterprises (MSMEs)
 characteristics, 277–80
 effective competition regime, 374
 Pacific island countries, 371
 protection of, 287
 microenterprises (MIEs), 278–80
 Monopoly Regulation and Fair Trade Act (MRFTA)
 anti-competitive mergers, 251
 exclusions for small and medium enterprises, 250–51
 Fair Franchise Transactions Act, 255–56
 Fair Transactions in Subcontracting Act, 253–54
 Korea Fair Trade Commission, 249
 Large-Scale Retail Fair Trade Practices Act, 254–55
 provisions in, 249
 restricting unfair trade practices, 252–53
 multinational companies (MNCs), 145–46, 152, 224, 312
- O**
- Organization for Economic Cooperation and Development (OECD), 57, 203, 217, 288
- P**
- Pacific island countries (PICs)
 business environment, 371–73

- commercial actor, 377
- consumers and small traders, 378
- institutional, social, and cultural settings, 375–77
- objectives and methods, adaptation of, 378–81
- small enterprises and competition policy in, 370–71, 373–74
- Papua New Guinea (PNG), 157, 374, 376
- per se* prohibitions
 - Australian system, 128–29
 - competition law and, 124–25
 - economic rationale for, 125–28
 - statutory immunity of CCA. *See* Competition and Consumer Act (CCA)
 - Type 1 error, 125
- perpetrator, 85, 338, 341
- POBO Section 7 (Hong Kong). *See* Section 7 of the Prevention of Bribery Ordinance (POBO Section 7)
- postpone billing, 79, 80, 81, 82
- Price Law, 339
- price-fixing, 11, 53, 59, 70, 75, 79, 323, 347, 354
- product franchising, 157
- Q**
- Queensland Chamber of Commerce and Industry, 86, 91
- R**
- Regulator Engagement with Small Business*, 87
- S**
- Schumpeter, Joseph, 17–18, 234
- Section 7 of the Prevention of Bribery Ordinance (POBO Section 7), 348, 349
- Shanghai Manifesto on Global SME Cooperation, 57
- Singapore
 - Accounting and Corporate Regulatory Authority, 222
 - Business Fusion Programme, 56
 - Competition Act (2006), 169
 - Competition Commission of Singapore. *See* Competition Commission of Singapore (CCS)
 - competition regime in, 259–61
 - Express Bus Agencies Association, 351
 - Free Trade Agreements, 226
 - labour productivity, 214
 - laissez-faire approach, 220
 - Local Enterprise and Association Development (LEAD) programme, 56
 - passing of Competition Bill, 262–63
 - small and medium-sized enterprise, 60
- Singapore Business Federation (SBF), 201
- Singapore Chinese Chamber of Commerce and Industries (SCCCI), 264
- Singapore Economic Review Committee (SERC), 261, 262
- Singapore Innovation and Productivity Institute, 221
- Singapore International Chamber of Commerce (SICC), 196, 262, 264
- Singapore School Transport Association (SSTA), 59
- Singapore Standard Industrial Classification (SSIC), 222
- small enterprises (SEs)
 - characteristics of, 278–79
 - for Pacific island countries. *See* Pacific island countries (PICs)

- Small and Medium Enterprise
 - Agency (SME Agency), 231
 - small and medium-sized enterprises (SMEs)
 - data sources, 43–45
 - definition of, 1, 3, 4, 245, 256n1, 259
 - entrepreneurial infrastructure, 53, 54
 - restricting economic concentration, 251–52, 318
 - small to medium-sized enterprise policies
 - anti-competitive behaviour, 56–58
 - vs. competition policies, 50
 - goal and purpose of, 52–55
 - Smith, Adam, 17, 199
 - South African Competition Commission, 111
 - Southeast Asia
 - Chinese family firms in. *See* Chinese family companies
 - Chinese family companies
 - small to medium-sized enterprises in, 57
 - SPRING Singapore, 51, 259
 - state-owned enterprises (SOEs), 145–46, 311–12, 323, 330, 377
 - superior bargaining position, abuse of, Japan, 235–40
- T**
- TAB Agents Association of New South Wales, 201–2
 - Tasmanian Farmer and Graziers Association, 201
 - Tasmanian Salmonid Growers Association, 204
 - total factor productivity (TFP), 41, 212
 - trade associations
 - and competition law, 198–99
 - core functions of, 196–97
 - definition of, 193–94
 - geographically-based associations, 194
 - historical development of, 195–96
 - limitations, 203
 - offenders of competition law, 204–5
 - sector-based associations, 194–95
 - Transaction Cost Economics (TCE), 182
- U**
- unfair trading practices
 - awareness of, 77
 - hypotheses, 70–71, 75–77
 - restricting of, 252–53
 - under-reporting of, 71, 78–79
 - United Kingdom (UK)
 - Competition and Markets Authority, 111–12
 - Consumer Rights Act (2015), 202
 - hypotheses. *See* hypotheses
 - Office of Fair Trading (OFT), 199, 202
 - Quarterly Survey of Small Business in Britain*, 107
 - small and large firms in, 66–68
 - SME dimension of competitiveness, competition, and competition policy in, 68–69
 - Trade Association Forum, 197
 - trade associations, 204
 - United Nations Conference on Trade and Development (UNCTAD), 285, 286, 288
 - United States Federal Trade Commission, 111
 - United States Sherman Act, 148
 - United States–Singapore Free Trade Agreement (2003), 261
- V**
- Vietnam
 - competition law, 313–15
 - economic reforms, 310, 322

- Enterprise Law, 310, 313
 - gross domestic product, 310, 311
 - Ministry of Trade, 314
 - Socio-Economic Development Strategy, 310
 - state-owned enterprises, 311–12
 - unfair competition cases, 321–23
 - World Trade Organization, 312
 - Vietnam Competition Authority (VCA)
 - advocacy activities, 319
 - competition law enforcement, 321–24
 - investigations, 319
 - organizational structure, 316
 - working definition, small firms, 318
 - Vietnam Competition Council (VCC), 316, 318
 - Vietnamese Competition Law, 153n1, 317–21
- W**
- World Development Report, 218
 - World Economic Forum Global Competitiveness Report (2013–14), 213
 - World Trade Organization (WTO), 312, 333, 345