Chapter 1

Introduction: Esoteric Buddhist Networks along the Maritime Silk Routes, 7th–13th Century AD

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In their introduction to a recent special issue of History of Religions devoted to (Esoteric) ‘Buddhist Visual Culture’, Jinah Kim and Rob Linrothe (2014) encouraged ‘a geographically wide framing of almost every question that can be asked about Esoteric Buddhism’. They argued:

Yunnan, Java, Japan, and the Tibetan regions of the Indian Himalayas can be as important as Bodh Gaya, Chang’an, or Lhasa, and an overly narrow focus limits the prospects for fruitful comparison. The Ekādaśamukhadhāraṇī, for example, seems to have found purchase from Gandhāra to Nara, Gilgit to Palembang. It is the de-provincializing and simultaneous decentering of any particular locale and any particular type of evidence (texts, epigraphical records, or visual art) that must occur in order for the study of Esoteric Buddhism to generate greater insights. (p. 2)

Espousing an analogous wide-ranging perspective, this volume studies the genesis, development and circulation of Esoteric (or Tantric) Buddhism throughout the vast geoenvironmental area that may be defined as ‘Maritime Asia’, from the 7th to the 13th centuries AD. In doing so, it upholds a trans-regional approach laying emphasis on the mobile networks of human agents (‘Masters’), textual corpora (‘Texts’), and visual/architectural models and artefacts (‘Icons’) through which Esoteric Buddhist discourses and practices spread far and wide across Asia. This extensive Introduction proposes several issues for consideration in surveying recent scholarly literature and in contextualizing the religious, historical, and socio-political dynamics—intervening on a local/regional as well as cosmopolitan/supralocal scale—that shaped these networks as they moved across different geographical and cultural contexts.

Maritime Asia, encompassing ‘Monsoon Asia’1 as its core, spans the eastern littorals of the Indian Subcontinent (and their hinterlands) in the west to the South China Sea littorals (and their hinterlands), the Philippine islands, Korea and Japan in the east;2 its geographical fulcums are the littorals of peninsular and mainland Southeast Asia, and the Malay-Indonesian Archipelago. Spreading across the superimposed geopolitical boundaries of modern nation states, and transcending such equally arbitrary and historically constructed geographical divisions as South/Southeast/East Asia, this largely maritime expanse was influenced by similar environmental and climatic factors, such as the seasonal monsoons. Being the theatre of circulation of people, goods, languages and ideas through sea routes since time immemorial, Maritime Asia may be theorized as forming—just like Eurasia—one interconnected network, and arguably even an integral cultural ecumene with a shared background of human, intellectual, and environmental history.

During the period that concerns us here, which is defined by way of convention as ‘mediaeval’,3

1. What Reynolds (2006: x) calls the ‘geoenvironmental metaphor of Monsoon Asia’ inspired early 20th-century French savants, such as Paul Mus, Sylvain Lévi, Jean Przyluski, and George Creedès; see in particular Mus 1933.
2. Inner continental (North) India and China, Tibet, as well as Korea and Japan may be considered as ‘appendices’ or ‘edges’ of Monsoon Asia, linked to the sea- and land-based networks of trade, cultural, and religious exchange that collectively shaped Maritime Asia. Similarly, the Eurasian continent may be conceptualized as ‘core’, and the Mediterranean and North Africa as ‘edges’ (Wang Gungwu in Ooi 2015: 121).
3. This is the widely used periodization referring to the post-Gupta period of South Asian history (especially as per
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Maritime Asia may be conceptualized as a ‘socio-spatial grouping’ or world region (Lewis and Wigen 1997) constituted by a pattern of ever-changing relations dominated by basic underlying affinities. This region comprised a web of coastal and inland polities connected to each other through a network of cosmopolitan port-cities across the Bay of Bengal/Indian Ocean and the South China Sea/Western Pacific Ocean, forming a ‘single ocean’ (Wolters 1999: 44–45) or, rather, a ‘Two-Ocean Mediterranean’ (Wang Gungwu in Ooi 2015: 57–93). Following an increasing recognition of the predominant role played by the sea routes (the so-called ‘Maritime Silk Roads’)

Cutting across the natural boundaries and barriers of continental topography, sea-based routes formed a network of conduits that led to the for-

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7. For an overview of the problems and a survey of the relevant secondary literature, see especially McBride 2004; Orzech, Sørensen and Payne 2011: 3–10; Lehnert 2012: 247, n. 2. A promising shift in focus from the etic terms used to describe the traditions to the actual content of ritual practice may be found in the text-historical study of early Mantranaya literature by Shinohara (2014).
Mantra’), Vajrayāna (‘Diamond/Thunderbolt Way’) and Mantrayāna (‘Way of Mantra’) — though legitimate and attested in primary textual sources — can be used as a single catch-all label for the diverse array of strands, orientations, and historical trends of Esoteric Buddhism.⁸

In dealing with a broad range of Buddhist traditions over an extensive geographical area and time-span, this volume adopts the descriptor ‘Esoteric Buddhism’ widely employed in contemporary Buddhistological scholarship — being fully cognizant of the fact that any etic catch-all category unavoidably entails some level of essentialization and generalization. While this general label in many instances may be considered as virtually coterminous with ‘Tantric Buddhism’,⁹ it also extends to the whole gamut of ‘hidden’ or ‘secret’ texts, practices and teachings from around the 4th to the 10th century and later that characterized certain orientations of Mahāyāna Buddhism, especially in East and Southeast Asian contexts.

Admittedly, it is often difficult to reduce or pin down Esoteric Buddhism exclusively to specific and distinct textual corpora, lineages, or ‘schools’, for many religious, social and institutional phenomena occurring in lay milieux across the Buddhist, Śaiva, Vaiṣṇava, and Jaina divide since the early mediaeval period were increasingly dominated by Tantric orientations. These orientations, which were not in each and every instance ‘esoteric’, ‘secret’, or initiatory, may include (e.g.) ritual violence, transgressive devotional practices, the use of mantras and magical formulas (for both this-worldly and other-worldly purposes), sorcery, possession and exorcism, and different genres of sacred performance. Thus, by applying a polythetic approach, one may argue that some of the distinctive features of elite Esoteric Buddhist milieux penetrated — through some sort of ‘trickle-down effect’ — the extended social fabric; conversely, many ‘popular’ cults and practices influenced high-cultural/textual manifestations of Esoteric Buddhism.¹⁰

A key area of contention has focused on whether there was a clearly defined and self-consciously distinctive stream of Esoteric Buddhism that developed in the Indian Subcontinent in the first few centuries of the Common Era that preceded the more markedly Mantranayic/Vajrayānic developments from the 7th century onwards. According to

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⁸. Vajrayāna, first attested in the late 7th century (Tribe 2000: 196), refers to a specific strand of vajra-centred Mantranaya Buddhism, while Mantranaya is a more neutral term encompassing a variety of (early) Esoteric Buddhist traditions; Mantrayāna is a rather late usage (11th century AD, see de Jong 1984: 92–93). Kapstein (2001: 236) differentiates the philosophico-localexegetical literature on Vajrayāna, or ‘the developed Tantraism that becomes prominent only during the last few centuries of Indian Buddhist history’, from the practice of mantranaya, ‘as it was conducted in the monastic universities in India during the mid-first millennium’. To Linrothe (1999: 58), the term ‘Tantric Buddhism’ ‘may be used to designate within Mahāyāna the ritualized use of dhāraṇī and certain imagery shared with more developed forms of Esoteric Buddhism’. Orzech (2006a: 148), discussing a Song Buddhist catalogue of AD 1013, notes that all texts are classified as belonging to the Hīnayāna, the Mahāyāna, or the ‘esoteric portion of the Mahāyāna Scriptural Collection’ (大乘經藏秘密部); this fact suggests that ‘“esoteric” (秘密) was a well-understood and frequently employed taxonomic term and a distinct subdivision within the Mahāyāna.

⁹. I consider the descriptor ‘Tantric Buddhism’, and the related adjectives ‘pre-/proto-Tantric’, as legitimate alternatives to ‘Esoteric Buddhism’, for a number of reasons. First, the word tantra is firmly established in Buddhism (and Śaivism) by the 8th century: for instance, tantra designates texts such as the Susiddhikara and Vairocanābhisaṁbodhi in Sanskrit discourse, and Śantarakṣita’s Tattvasiddhi (ca. 750–80) refers to numerous Buddhist Tantras; moreover, many of the beliefs and practices found in the ‘mature’ Tantric scriptural corpus had already been around since at least the 5th century. The unwillingness of many modern scholars to adopt this descriptor may reflect a (subconscious) tendency to avoid the label ‘Tantric’ because of its (projected) monothetic association with radical, eroticized, and transgressive forms of Buddhism. On the other hand, the cognate label ‘Tantric Śaivism’ is widely accepted, even to indicate the mainstream, ‘soft core’ currents of the Mantramārga, such as the Śaiva Siddhānta.

¹⁰. For instance, the striking similarities shared by some (both premodern and contemporary) ritual dances and performances in Tibet and Nepal (i.e., caryāntṛtya, bhairab naach), Bali (topeng pajegan and the masks Sidha Karya, Barong, and Rangda), and Japan (sanbasō dance and the mask Okina) have been ascribed to a common Tantric Buddhist source by Coldiron (2005: 240–44) and Emigh (1996); cf. Acri 2014. Wedemeyer (2013: 257–58, n. 130) considers performances like the caryā dance as the historical descendants of earlier Tantric ceremonies dominated by the ritual logic and ‘elite ideology’ of esoteric fringe practitioners.
one representative scholarly opinion, Esoteric Buddhism ‘evolved gradually, becoming a distinctive stream within the late Mahāyāna closely connected with dhāraṇī practice’ (Orzech, Sørensen and Payne 2011: 6).

Even though the use of magical formulas (dhāraṇī, mantra, vidyā) is not per se a marker of Esoteric Buddhism (as these formulas were widely used in exoteric, mainstream Buddhist milieux for this-worldly purposes), dhāraṇī-practice may account for the genesis of early Mantranaya Buddhism within the Mahāyāna, emphasizing as it does the efficacy of mantras for soteriological purposes; over time, the practice of reciting spells became more complex, incorporating elements of image worship and visualization (Shinohara 2014: 194). Thus, many strands of Esoteric Buddhism may be seen as special trends or ‘fashions’ of (esoteric or secret, and therefore superior) ritualism, magic and meditation, which ‘encompassed a variety of different sub-movements and doctrinal and ritual innovations within (primarily Mahāyāna, or bodhisattva-oriented) Buddhism, beginning in the early-mid first-millennium’ (Wedemyer 2013: 9–10). As such, Mantranaya—as opposed to the exoteric Pāramitānaya—was often perceived as an esoteric salvific path within the Mahāyāna. Its advocates regarded this path as superior, and in any event faster and easier, than other Buddhist paths.

A distinguishing feature of mediaeval Esoteric Buddhism was initiation (abhiseka). The practitioners of this path—both monastic and lay—underwent an initiation ceremony bestowed by a master in order to pursue a fast process of liberation, or fulfill mundane goals, with the assistance of psycho-physical techniques (sādhanā, upāya) such as yogic meditation and visualization, maṇḍalas, mantras, magico-ritual procedures, and worship of (Esoteric) Buddhist icons. Esoteric Buddhism, and especially the Vajrayāna strand, had in its central practices and discourses an element of initiation by a vajrācārya, transgression, empowerment, divinization, worship of wrathful deities, and secrecy.12

Esoteric Buddhism shared significant common elements with Tantric Śaivism, to the extent that the two religions participated in an interdependence of discourse in such disparate domains as philosophy, soteriology, ritual, and iconography. This complex phenomenon of dialectic influence and interchange has triggered a wide range of etic interpretations. While the formative phase of the non-dual and transgressive Vajrayāna Buddhism and its foundational texts (labeled Yoganiruttaratantras in the Tibetan tradition) is still a matter of debate as only a fraction of ‘proto-Tantric’ Buddhist (and Śaiva) textual corpora have survived for us, scholars generally agree on the view that the whole canon was the result of a synthesis with a corpus of Sanskrit texts of antinomian character called Yoginītantras or Dākinītantras, which began to appear in South Asia by the 7th or 8th century. Sanderson (1994, 2001), hypothesizing a direct influence from Śaiva milieux of the Mantramārga (‘Way of Mantra’) where mantra-related salvific and/or mundane practices rose to prominence during the 6th and 7th centuries, argues that the Yoginītantras were originally of Śaiva persuasion, and reflected the transgressive rhetoric and practices of such marginal groups as the Kāpālikas, the ash-smeread, skull-bearing devotees of the terrifying Bhairava/Mahākāla. Conversely, Davidson (2002) maintains that in the early siddha milieux of composition and circulation of such corpora the boundaries between Buddhism and Śaivism

11. Prior to the development of a self-conscious esoteric Mahāyāna movement distinct from the exoteric Mahāyāna, the dhāraṇī-texts that were translated into Chinese from the 4th century onward formed the matrix out of which the Vidyādhara Collection (Chimingzhou zang 《持明咒藏》; Vidyādhara-pitaka) was compiled during the mid-7th century (see Gray 2009: 2–3, Davidson 2002: 24, and the seminal study by Hodge, 1992; cf. Shinohara 2014). The Vidyādhara-pitaka itself was perceived as the precursor of later extensive Tantric collections such as the Vajraśekhara/Māyahāla (see Dalton 2005: 122). These prototypical esoteric varieties of Buddhism may already have been in existence by the 5th and 6th centuries, as suggested among other things by the iconography of early cultic sites in Mahrashtra. Early Śaiva (proto-)Tantric scriptures, such as the core of the Niśvāsatattvasamhitā (prob. AD 450–550), attest to the same stock of beliefs and practices—vetāla-rituals, possession, initiation, and the acquisition of supernatural powers through mantras, elixirs, or magical procedures—that are also found in slightly later Buddhist texts, such as the Mañjuśrīamūlakalpa.

were not clear-cut, and the vectors were subaltern individuals or (tribal) ethnic groups living at the margins of the Brahmanical social order. Another position, advocated by Seyfort Ruegg (1964, 2008), posits an early ‘pan-Indian religious substratum’ or common cultic stock that would ex hypothesi form the endogenous common source and cultural background from which both Šaiva and Buddhist traditions derived, and to which they ultimately owe their shared common elements.

**CONSOLIDATION AND SPREAD OF ESOTERIC BUDDHISM: ‘ROYAL’ VS. ‘MERCANTILE’ PARADIGMS**

A widespread scholarly opinion views the development of Esoteric Buddhism as an eminently royal affair. According to Ronald Davidson (2002: 23), one of the main exponents of this paradigm, ‘esoteric Buddhism has a very strong political element which is occluded in the modern Buddhist apologia’. To Davidson, Esoteric Buddhist ideology, even the monastic one, is a mirror of Indian mediaeval life (2002: 115):

> When the Mantrayāna becomes culturally important outside India, it is principally through the agency of official patronage, either aristocratic or imperial. Given these circumstances, it would be extraordinary if the military and political culture of early medieval India had not shaped esoteric institutions, doctrines, literature, rituals, and iconography, at least to some extent. Esoteric Buddhism is the form of medieval Buddhism that internalized, appropriated, reaffirmed, and rearranged the structures most closely associated with the systems of power relations, ritual authentication, aesthetics, gift-giving, clan associations, and sense of dominion that defined post-Gupta Indian polities.

According to Davidson, what ensured the consolidation and expansion of Esoteric Buddhism was its alignment to state interests, effected through monastic agents who entered the royal courts and secured the support of the elites, often competing with the ritual specialists of what was the most popular religion and ritual technology of their time over large portions of South and Southeast Asia: Šaivism. Thus, the relationship between Esoteric Buddhist ritual specialists and royal elites seems to be coterminous with one that existed between Brahmanical purohitas and the courts they served.

By acting as royal chaplains, religious preceptors (rājaguru), subdueurs of demons, magicians, thaumaturges, and even courtly advisors, Buddhist monks whose ritual practice adhered to ‘esoteric’ traditions provided warring monarchs with rituals geared towards the obtainment of what was most sought after by them: power. Through the invocation of powerful entities, the proffering of mantras and spells, and the enactment of royal initiations, those masters promised kings the safeguarding of their kingdoms, victory against their enemies, invincibility in battle, and indeed divinization of their body (see Flood 2006: 11). White (2012: 165) captures the translocal dynamics involved in this process by noting that besides trade, warfare, and political expansion, the contacts and exchanges favouring the spread of (Indic) adstratal Esoteric Buddhist (and Tantric Šaiva) traditions took place at the hands of religious and magico-ritual spe-

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13. Linguistic evidence supporting this inextricable connection between politics and religious/ritual ideology is provided by the use of terms pertaining to the ritual sphere in the mediaeval Indic political domain (and vice versa), such as the important terms *maṇḍala* or *mantrin* (‘possessor of [secret] spells’: Davidson 2002: 143–44); the latter, indicating the king’s counselors, has remained in use in modern Hindi, Indonesian, and Malay with the meaning of ‘minister [of state]’ (compare the Chinese and English ‘mandarin’: see Strickmann 1996).

14. On the importance of demonology and ‘exorcism’ as vectors of transregional transfer and adoption of Buddhism (and Šaivism), see Strickmann 1996: 149, White 2012: 150, and Giebel, this volume.

15. To be sure, the employment of monks in warfare is not exclusive to this period: witness the Chinese military campaigns that started in the 4th century (Sen 2003: 36). However, the Esoteric Buddhist signature of the martial rituals carried out by Amoghavajra at the Tang court is miles apart from the ‘true Buddhism’ claimed by Xuanzang, who turned down an offer by Emperor Taizong to accompany him in his Korean campaign (ibid.: 37). Much has been written on the topic of ‘war magic’ and Esoteric Buddhism in India and beyond (besides the contributions by Acri, Bade and Goble in this volume, see Sinclair 2014, White 2012, Sanderson 2004, Davidson 2002, and Lokesh Chandra 1992a, 1992b).
cialists enjoying royal or imperial patronage—a prime example being the battlefield sorcerers whose magical devices and counter-devices were considered to be choice weapons in battle. Through rituals, whether internalized or enacted, these agents offered the courtly elites an easy path to the mainstream Buddhist ideal of personal salvation on the one hand, and to the Tantric ideal of divinization on the other. By the same token, they ensured a broader support for their cause by providing lay householders with equally powerful means—ritual, magical, meditative, and devotional—to achieve both their mundane and supramundane goals.

An alternative view lays emphasis on traders—who were among the original propagators of Buddhism in its early stage—as the main agents of the dissemination of ‘Maritime Buddhism’ across Asia (see, e.g., Bopearachchi 2014, Dayalan 2013, Lancaster n.d.). While the success of Buddhism (in both its exoteric and esoteric forms) overseas has been too often simplistically perceived as the unique result of economic and social forces connected to a mercantile class-ideology, characterized by an inherent dynamism and opposed to a ‘static’ Brahmanism, it is undeniable that lay householders active in trade, crafts, and warfare played a role in patronizing and spreading—e.g., through pilgrimage, travel, or migration—Esoteric Buddhist cults.17 Hiram Woodward, criticizing Davidson’s model for failing to make a place for the ‘link’ between courts and monasteries on the one hand, and between monasteries and society at large on the other (2004: 332), questions Davidson’s assumption that ‘a factor in the rise of the Mantrayāna or of institutional esoterism … was the loss of mercantile support and the rise in official patronage’ (2004: 353; cf. Davidson 2002: 82–83, 167). Trying to bridge the gap between the ‘royal’ and ‘mercantile’ model, Woodward rightly argues that the turn to Mantrayāna in Java in the 780s and 790s is hard to square with such a notion, as is ninth-century Southeast Asian support for a monastery in Nālandā. Only merchant networks could have sustained the contacts with Bengal and Sri Lanka that made possible the movement of monks and the transfer of texts. It is hard to see why the territorial and defensive aspects of the Mantrayāna, so connected in Davidson’s mind with official patronage, need be thought incompatible with merchant values. Indeed, bonds among merchants in widely separated ports could well have been enhanced by beliefs in secret codes, despite differences in language and ethnicity, much as a cluster of mandalas exhibits alternate paths to a single unified goal.

Recent scholarship has unveiled the multi-directional connections existing between Buddhist centres, tied to each other by overlapping networks of relations that were religious as much as economic, diplomatic, and political in nature.18 Therefore, to understand the establishment (and disruption) of complex networks, an eclectic, rather than ‘single model’, approach is required. To better grasp such a multifaceted, trans-regional phenomenon as the patterns of Buddhist transmission across Maritime Asia, which was shaped by socio-political, economic, and perhaps even environmental factors, one may try to apply, as was done by Neelis (2011: 10) with respect to South, Central, and East Asia, a ‘networks approach’ or ‘networks model’. As Neelis (2011: 319) persuasively puts it:

Multidirectional movement by agents of Buddhist transmission … who selectively left traces of their journeys in literary texts, inscriptions, and material artifacts indicates more complex patterns of transmission than an oversimplified flow of influence in a single direction along a fixed route. As they consolidated multifaceted links between religious, economic, and political nodes along multiple

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16. By contrast, Sen (2014a: 42–43) discusses evidence of ‘antagonistic encounters’ between Buddhist monks and (Hindu?) merchants plying the overland and maritime commercial routes.


18. On the intersection between trade, diplomacy, the emergent Esoteric Buddhist networks in the 7th century, and their integration in the wider Asian Buddhist world in the 8th century, see Sen 2003; cf. Hall 2010 on the (inter-)regional trade networks of insular Southeast Asia in the 9th and 10th centuries, in the light of archaeological evidence from shipwrecks and epigraphy.
lines of communication, they formed their own parallel exchange networks, thus enhancing possibilities for cross-cultural contact and transfer. It remains to be seen if trade networks played comparative roles as catalysts for long-distance transmission in other Buddhist geographical and historical contexts that were beyond the scope of this inquiry: Sri Lanka and Southeast Asia.

This approach individuates the nodes, conduits, and hubs that facilitated the dynamic processes of exchange, thus going beyond the metaphors of cultural ‘flows’ and ‘influences’ that have so far characterized the scholarly discourse. To fully appreciate how religious, mercantile, and diplomatic networks acted as catalysts for transmission of Esoteric Buddhism far and wide across Asia, it is necessary to adopt a geographically wider ‘Maritime Asian’ perspective, and take into account the maritime vectors linking together the nodal centres in the Buddhist ecumene.

PLACING ESOTERIC BUDDHISM IN MEDIAEVAL MARITIME ASIA

As is shown by scriptural, epigraphic, and art historical materials, esoteric cults, doctrines, and ritual technologies flourished across the mediaeval Buddhist ecumene. Vajra-accoutrements, icons of Esoteric Buddhist deities, and dhāraṇīs based on Esoteric Buddhist texts in Sanskrit have been recovered across a vast swathe of both the continental landmass and island territory of Maritime Asia. Networks of Buddhist clerics of different ethnicities adhering to novel Tantric developments began to emerge in the 7th century in disparate locales, moving along the maritime routes connecting South, East, and Southeast Asia. Those sea routes, established over the centuries—if not millennia—by a steady flow of traders and seafarers, were also plied by pilgrims and religious specialists who crossed oceans and lands in search of esoteric knowledge, rare Sanskrit scriptures, relics and icons, powerful spells, and rituals of maṇḍalic initiation (abhiṣeṣa) imparted by renowned ācāryas, as well as in search of political sponsors.

Esoteric Buddhism coexisted in many contexts with varieties of mainstream exoteric Mahāyāna or Pali Buddhism(s). Gaining momentum in the 8th century, in what could indeed be described as a ‘Tantric turn’, it eventually became a nearly pan-Asian phenomenon. Its expansion was initially driven by a handful of exceptional masters endowed with a remarkably cosmopolitan vision and ‘international’ ambitions, who gained the support of the ruling elites of their time. Kings who either sponsored or granted direct recognition as state religion to Esoteric Buddhism during its ‘first wave’ of pan-Asian expansion belonged to such prominent, and roughly coeval, Asian dynasties as the early Candras (r. ca. 850–1050) and Pālas (r. ca. 750–1199) in the northeastern Indian Subcontinent, and the early Bhauma-Karas in Odisha (r. ca. 825–950); the Yarlung dynasty in Tibet (r. ca. 618–842); the early Second Lambakāṇnas in Sri Lanka (from the late 7th to the mid-9th century, up to Sena I); the Śailendras and cognate Śrīvijayan rulers in Java, Sumatra, and the Malay Peninsula (r. ca. 7th–13th centuries); the Chinese Tangs (r. ca. 618–907; 960–1279). Linrothe (1999) has elaborated a schematic model encompassing three phases of Esoteric Buddhism on the basis of the iconographical and doctrinal developments carried by each one of them. While pointing out that some of the stages after the 8th century may be contemporaneous and contiguous, he argues that ‘Phase One dominates the period between the late sixth to the eighth centuries, Phase Two presides from roughly the eighth to the late tenth century and Phase Three from the late tenth century through to the twelfth’ (ibid.: 13). Although this model may retain its usefulness when analysing wider-ranging historical or soteriological aspects of Esoteric Buddhism, here I would rather use the term ‘wave’ as a metaphor for the spread of esoteric fashions far and wide across Asia, and identify two main waves: the first from around the 7th to the early 10th century, the second from around the late 10th to the 13th century. Each of these waves appears to have been characterized by new religious networks, socio-political configurations, scriptural canons, and iconographic fashions.

19. Evidence from insular areas, which is rarely accounted for in studies on Esoteric Buddhism, has been found in Sri Lanka (see Mudiyanse 1967; Chandawimala 2013), the Maldives (see Gippert 2004, 2005), the Indonesian Archipelago (see Nihom 1994, 1998a; Sundberg 2003; Kandahjaya 2009, this volume; Griffiths 2011b, 2012, 2014a, 2014b, 2014c; Griffiths, Revire and Sanyal 2013; Cruijsen, Griffiths and Klokke 2012; Long 2014; Hall 2010; Miksic, this volume), and the Philippines (see Orlina 2012).

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21. The issue as to whether the Śailendra Buddhist kings belonged to a distinct dynasty—of either Javanese, South-
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618–907), especially under Emperors Xuanzong (r. 712–56) and Daizong (r. 762–79); the later Silla dynasty in Korea (r. 661–935); and the Japanese imperial dynasty in the Heian period (r. 794–1185). Having suffered a sudden decline in royal support, if not outright persecution, in locales as disparate as Tibet, Sri Lanka, Central Java, and China in the middle of the 9th century, Esoteric Buddhism picked up momentum again in the 11th century (the ‘second wave’) and remained vital through the 12th and 13th centuries across much of Maritime Asia. Major royal figures of that period who elected Esoteric Buddhism as their personal and official cult, or supported Tantric rituals as a means of achieving their political ends, are Jayavarman VII in Cambodia (r. ca. 1181–1220), Kṛtanagara in East Java (r. 1268–92), and Kublai Khan in China (r. 1260–94). Having virtually died out in the Indian Subcontinent by the late 13th century, it continued to live or even thrive—in its localized adaptations—until the 15th century in Java and Sumatra (e.g., under King Adityavarman, r. ?–1375), and to the present day in Nepal, Tibet, and Japan.

Early, if rare, Esoteric Buddhist vestiges are found in Western India, as evidenced e.g. by images from Buddhist caves in the Western Decan, such as Ellorā (Malandra 1996), Aurangabad (Brancaccio 2010) and Kānherī, where Tārās and (esoteric) Avalokiteśvaras are found as early as the 6th–7th centuries (Pandit 2015; Bopearachchi 2014: 164–67). By the 9th century, a Buddhist monastery hosting a famous caitiyā and a Tārā temple was located in Mahābimba in Konkana (the Konkan coast of western India); the well-known illustrated Nepalese manuscript of the Āṣṭasāhasrikā-Prajñāpāramitā dated AD 1015 (CUL ms. Add. 1643, f. 193r), presenting a visual documentation of divinities and renowned centres across Buddhist Asia, mentions Mahāviśva (a corruption of Mahābimba?) as the seat of a famous Lokanātha (cf. below, n. 57; Szántó 2016: 2), and links a significant number of other Buddhist sites to Konkana (see Kim 2014: 48).

It is generally acknowledged that major centres of Esoteric Buddhism (and of Tantrism in general) were found in the northeastern areas of the Indian Subcontinent, roughly corresponding to modern Bihar (itself the cradle of Buddhism since the time of the Buddha), West Bengal, and Bangladesh. Bihar was the seat of such prestigious institutions of Buddhist learning as Nālandā, Vikramāśīla, Somapura, and Uḍḍānapurā (Ottapuri), where esoteric fashions seem to have become popular from the late 8th through the 12th century; the whole northeastern region hosted major masters of Mantranaya and Vajrayāna Buddhism, and several Tantric works stem from there.

It is now increasingly recognised that Odisha (Oḍra) played a significant role in the formation of Esoteric Buddhism and its spread to Southeast Asia. This region, part of which was formerly known across the Indic world as ‘Kaliṅga’, boasted important monastic centres and sacred pilgrimage sites, such as Ratnagiri, Udayagiri, and Lālitagiri, which were connected to the maritime networks via the
ports of Kalingapatana and Puri. An evocative locale in the pan-Asian Tantric world across the Bauddha-Saiva divide was Śrīśailam/Śrīparvata in Andhra Pradesh. Some have identified this as the ‘Vajraparvata’ mentioned in the 14th-century Sri Lankan chronicle Nikāyasangraha as a seat of the heretic Vaijñayāvāda and Nilapātādaśāna monks who introduced varieties of Tantric Buddhism on the island in the 9th century, while others have linked it to the early Buddhist site of Nāgārjunakonda—another source of Mahāyāna and perhaps also Tantric cults. This area, connected

25. See Malandra 1996: 186 n. 12, 204; Sadakata 1997; Donaldson 2001; Ray 2008: 110–12; Sanderson 2009: 80–83; Mishra 2011; Reichle, this volume. Szántó (2016: 4) reports that the Saṁvarodaya—the to date only surviving initiation manual of the Saṁvara cycle, copied on a Nepalese ms. dated 1054 AD—was written by a certain Bhūvācārya at Ratnagiri. On the important role of Odisha in the spread of (Esoteric) Buddhism overseas, see Patra 2013, several papers in Patnaik 2014, and Tanaka 2014, who describes some dhāraṇīs unearthed at Udayagiri II that have not been found anywhere else in India, but versions of which are extant in Sri Lanka, Tibet, China and Japan (the same documents provide evidence of the connection between Subhākaradeva of the Bhauma-Kara dynasty and Udayagiri). On the hypothesis (now largely abandoned) of a connection between the Javanese Sailendras and Indian dynasties, such as the Sailodbhavas of 7th-century Odisha or the Iṣvākus of Śrīśailam/Śrīparvata of Andhra, see Majumdar 1937; Sarkar 1985a, 1985b; Lokesh Chandra 1995a. On the identification of the Tantric seat of Odīḍyāna with Odisha (rather than the Swat valley), see Donaldson 1995: 174, 2001: 8–16. On the possible influence of Tantric practices from Odisha on the demonic figures of Balinese dance-drama, see Emigh 1996.

26. See Yamano 2009 and White 1996: 60–61, 110–12. White discusses the close associations of Śrīśailam/Śrīparvata with the siddhas and esoteric/alchemical traditions (including those stemming from Nāgārjuna) in both Buddhist and Saiva lore, and points out that there may have been two separate toponyms—the one being in the Kurnool district of the central Deccan plateau, the other one sixty miles to the east, near Nāgārjunakonda (White 1996: 375, n. 47, referring to an earlier work by Arion Roșu). On the esoteric features of some early Buddhist sites in Andhra, see Ray 2008: 128–30.

27. Lokesh Chandra (1993a: 500) links Śrīparvata to Vajraparvata on the grounds of the former’s close association with Vaijñayāna. On the introduction of Esoteric Buddhism in Sri Lanka by a monk of the Vajraparvata ordination lineage (vajraparvvata-nikāyavāśīvū bhikṣu), and its adoption by Matvalasen (i.e., King Sena I, r. 834–54), to the important seaport of Viśakhapatnam, was ‘a launching point for missionaries to Kashmir, China, Bengal, and Sri Lanka’ (White 1996: 60).

28. Lokesh Chandra (1993a: 500–502) has stressed the importance of Kāñci—which he connects to Odīḍyāna—for overseas (and especially insular Southeast Asian) Esoteric Buddhism (see also GGuy 2004 and, on Buddhism in Tamil Nadu, Monius 2001).

29. Although Sri Lanka was one of the early recipients and exporters of Theravāda/Pali Buddhism, recent studies have underlined the numerous vestiges of both Mahāyāna and Esoteric Buddhism existing on the island. These includes images of Vaijñasattva and Tārā, sealings with Vaijñayāna elements, the fragments of Ratnakūṭa Sūtra found in the Cetiyaṭigiri Monastery, the Dhāraṇīghara mentioned in the Mahāvamsa, the dhāraṇīs from Abhayagiri and those found in the Great Book of Protection (see Mudiyanse 1967; Sundberg 2004; Sundberg and Giebel 2011; Chandawimala 2013).
pendix A) in South India (Orzech 1995); monks of the caliber of Puṇyodaya, Amoghavajra and Prajñā travelled from China to South India and/or Sri Lanka to get hold of some rare esoteric texts and receive initiation from local consecration masters.

Many of the Southeast and East Asian locales received their Buddhism(s) via high-profile diplomatic and commercial contacts entertained with South Asian entrepôts that doubled as centres of Buddhist diffusion. In these cosmopolitan entrepôts, Buddhism coexisted alongside Śaivism, being either sponsored, or at least benignly tolerated, by predominantly Śaiva dynasties. 30 These strategic crossroads of mercantile and political power constituted the 'nodes' that probably played a crucial role in the genesis and development of Buddhism in general, and Tantric traditions in particular, insofar that they supported prestigious centres of learning, sponsored monastic congregations and institutions, or housed ancient relics visited by pilgrims coming from all over the Indic world. Think, for instance, of Nālandā and Vikramaśīla in northeastern India, Abhayagirivihāra at Anurādhapura in Sri Lanka, the Buddhist institutions of higher learning in Sumatra alluded to by Yijing, and the Buddhist monumental complexes of Central Java.

30. Such as the Pallavas in the Tamil country, who did not oppose Buddhism. Gillet (2013: 15) argues that Buddhism played a major role in the construction of Pallava iconography through dynamics of assimilation, yet the alleged ‘silence’ of this dynasty regarding Buddhism ultimately suggests a counter-acting strategy through inclusion. Singh (2014: 56) regards this attitude as an ‘incorporative kingdom within a polytheistic or monolatrous context’ dictated by reasons of realpolitik. For instance, the Pallava may have acted out of diplomatic politeness when dealing with other Buddhist powers, as suggested by the protection enjoyed by Vajrabodhi in Kāñcī, and by their religio-diplomatic links with contemporary pro-Buddhist dynasties such as the Tangs, the Śailendras, and the Lambakaṇṇas. Around AD 1019 a Buddhist temple, the Śailendra-Cūḍāmaṇivarmanvihāra, was founded at Nākapattīnām by Cūḍāmaṇivarman, king of Kāñcī (Kedah in Malaysia). At Nākapattīnām, with the support of staunch Śaiva King Rājarāja Cōḻa I. In a similar fashion, the Pālas were early adopters of Mahāyāna Buddhism and its Mantrayāna and Vajrayāna developments, but at the same time patronized Śaivism, especially in its Atimārga branch (see Sanderson 2009: 87–88, 108–15; Bagchi 1993: 13; Davidson 2002: 85).

31. See, e.g., Kapstein and van Schaik 2010, Dalton 2011, Meinert 2016 (Central Asia and Tibet); McRae and Nattier 2012 (India, Central Asia and China); Orzech, Sørensen and Payne 2011 (East Asia).

32. For instance, while the 3rd-century Gaṇḍavyūha locates the original seat of Mañjuśrī at Nāgārjunakonda, Yijing considered Mount Wutai in China to be the adopted home of that Bodhisattva (Lamotte 1960: 84–85), and this is the very reason why Indian monks Mañjuśrī and Vajrabodhi travelled to China (Copp in Orzech, Sørensen and Payne 2011: 361; cf. Sen 2003: 76–86); ms. CUL Add. 1643 of the Aṣṭasahasrikā-Prajñāpāramitā mentions a mahācīna mañjuśrījughosah [‘Mañjuśrī in (Greater) China’] (Kim 2014: 49, 67). Mediaeval Sanskrit and Tibetan sources speak about the traditions of Mahācīna- or Mahācīnakrama-Tārā and a (markedly transgressive) Chinese mode (cinācārā) of worshipping Tārā (see N.N. Bhattacharyya 2005: 98, 106, 110; Bühnemann 1996).

**THE PLACE OF SOUTHEAST ASIA IN THE ESOTERIC BUDDHIST ECUMENE**

A series of recent, monumental works on Esoteric Buddhism in South, Central, and East Asia has dramatically improved our knowledge of these traditions in their regional contexts, and laid out the basis for an exploration of the connections—mostly across the overland Silk Roads—that linked the opposite ends of the Eurasian landmass. 31 Yet scholarship needs to move beyond the paradigm envisaging a ‘diffusionist’ spread of Esoteric Buddhism from a South Asian ‘heartland’ or ‘motherland’ to East and Southeast Asian ‘peripheries’, for cults were transmitted from multiple centres, and by no means followed a mono-directional pattern. According to Sen (2003: 11), during the Tang period Chinese Buddhist monks ceased to suffer from a ‘borderland complex’: hence, China ceased to be a ‘frontier’ and became a terminus, and centre of diffusion, of Buddhism in its own right. 32 Similarly, Skilling (2009: 42) re-evaluates the important participation of premodern Siam in a much wider world of Buddhist cultural interchange than is usually assumed at present, questioning ‘whether “India” should always be the “centre”, Siam the periphery—a passive recipient of “influence”’.

Southeast Asia—and large areas of what are now the Malay peninsula and the Indonesian Archipelago in particular—played an important, Asia-wide role as both a crossroads and terminus of Buddhist
contacts. With the exception of a handful of recent studies dealing with aspects of (Esoteric) Buddhism in the context of Southeast Asia and maritime connectivity, 33 much of previous scholarship has tended to either display a bias towards (reified and/or constructed) manifestations of Theravāda and exotic Mahāyāna Buddhism in the area, or perceive it as a consumer rather than a ‘generator’ of Esoteric Buddhism. As a consequence, the creative and constitutive force of Southeast Asian agents and milieux in the transfer, transformation, and ‘translocation’ of people, texts, notions, and artefacts remains to be fully appreciated. The existence of a Sinhala monastic complex in Central Java, a Śailendra-Śrīvijayan monastery at Nālandā, and a Sālendra-Cudāmanivārnavihāra at Nākapattanā; the survival, besides the Chinese reports, of Sanskrit and vernacular textual materials (from epigraphic as well as manuscript sources) of Mantranaya and Vajrayāna persuasion, some of which contain quotations traceable to Sanskrit Tantras; and the significant remains of statues, ritual implements, and monuments, all conjure up the role of insular Southeast Asia as a recognized seat of esoteric cults in a highly interconnected Buddhist cosmopolis rather than a remote and backward periphery. 34 Recent epigraphical studies by Grif- fiths have underlined ‘the pan-Asian character of Buddhism and the integral place the Indonesian Archipelago once held in the ancient Buddhist world’ (2014a: 137). Woodward (2004: 253) has advanced an argument for ‘treating Indonesia and India as an integral unit well into the ninth century’, making ‘a case for possible influence of Borobudur Buddhism upon subsequent developments in India’, yet at the same time admitting that ‘there is little evidence of inhabitants of Southeast Asia participating in the creation of the Yogini Tantras’. On the other hand, the contribution of insular Southeast Asian masters to Vajrayāna Buddhism in Tibet, also through the handful of texts composed in ‘Suvarṇadvīpa’ that were introduced into the Tibetan canon (e.g., the Durdodhālaka by Dharmakīrti), is acknowledged by the Tibetan tradition from the 11th century, and confirmed by modern scholarship (see Schoter- man, this volume, and below, p. 19). Transmission of Buddhist ideas from Sumatra and/or Java to the Himalayan region has been suggested on the basis of artistic and architectural similarities between the Tabo monastery in Himachal Pradesh, which Atiśa visited in 1042, and Borobudur (Wayman 1981: 140–42; Nihom 1994: 72, n. 192; cf. Kimmet 2012: 98–99 and Lokesh Chandra and Singhal 1999). Be this as it may, it is difficult not to concur with Skilling (1997: 188) that ‘the composition of Dur- bodhālaka presupposes the existence and study in Śrīvijaya of the abstruse Prajñāpāramitā and Abhisamayālāṃkāra literature; of a high level of scholarship; and of royal sponsorship’. This sce- nario is also suggested by the figure of Shihu (施護, *Dānapāla, d. 1018), an exceptionally prolific South Asian monk-translator who in the late 10th century reached China with a good knowledge of the languages of Sanfochi (Śrīvijaya) and Shepo (Java) (see Sen 2003: 384; Orzech 2011a: 449–50).

Both Sumatra and Java are likely to have acted as important places in the development of (eso- teric fashions in) the cults of Mañjuśrī and Tārā, which had an inherent ‘maritime’ aspect insofar that they were tutelary deities of travellers, and seafarers in particular (Hanneder 2008, Ray 2012: 56–60, Bopearachchi 2014); the popularity of those deities in Sri Lanka, mainland Southeast Asia, Java, Sumatra, and China suggests the existence of strong Buddhist connections between those locales by the 9th century. 35 As pointed out by Chou (1945: 321)

33. See Woodward 2004; Kandahjaya 2004 (esp. 40–112); Sundberg and Giebel 2011; Sharrock 2012, 2013a; Sen 2014a; Long 2014.

34. These data would seem to lend some support to Tāranātha’s claim—however exaggerated it may be—that, from the time of king Dharmapāla (late 8th–early 9th century) on, there were in madhyadesa many students from Southeast Asian kingdoms, and during the time of the four Senas about half of the monks of Magadhā were from Southeast Asia (see D. Chattopadhyaya 1982: 330).

35. On Java and Sumatra as early seats of Mañjuśrī and Tārā cults, as well as the possible connection between forms of Tārā, the Javanese Nyai Loro Kidul, and the Chinese Guanyin, see respectively Miksic 2006 and Jordaan 1997, 1998. On the pan-Asian cult of Mahāpratisarā—a female deity not unrelated to Tārā—and especially its Javanese attestations, see Crujissen, Griffiths and Kloke 2012. Sundberg (2004: 114–16) has postulated the presence in Java of Chinese Buddhist personalities on the basis of a lintel-piece from Candi Sewu, which depicts among many
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and Sundberg and Giebel (2011: 152), according to an account by Yuanzhao compiled into the Zhenyuan xinding shijiao mulu, and also to the Japanese master Kūkai, Vajrabodhi (a.k.a. Vajrabuddhi; see Appendix A by Sinclair) first met Amoghavajra in Java, which should have displayed evidence of theological sophistication because it likely ranked among the locales suitable for a well-educated Indian religious adept like Vajrabodhi to occupy his time, instead of energetically resuming his approach to his intended destination of China. Indeed, Java had for centuries been an exponent of Indian Sanskritic culture, in both Śaiva and Buddhist strains, and some locations on the island must have been perceived as hospitable ground for Vajrabodhi.

Java under the Śailendras, with such majestic and exquisitely crafted Buddhist monuments as Borobudur, Candi Sewu, Plaosan, and Mendut, must have ranked among the great sacred centres of Buddhism. This may be inferred, e.g., from the mid 9th century Siddhamātṛkā inscription unearthed at Candi Plaosan in the Prambanan area (de Casparis 1956: 188–89, 202), which describes the worship of a Buddha-temple (jinamandira) by pilgrims continuously arriving from Gurjaradeśa (Gujarat, or the dominions of the Gurjara-Pratihāras in North India?). The illustrated manuscript of the Aṣṭasāhasrikā-Prajñāpāramitā CUL Add. 1643 dedicates a vignette to an image of the Buddha Dipāṅkara in Java (f. 2r). As argued by Sinclair (this volume, p. 31) on the basis of the Tantric geography exposed by the Manjuśriyamūlakalpa (f. 51.636–640), ‘by the late eighth century Kālīṅga’, had been accorded Buddhavacana-levy recognition in the Sanskritic world. Given its strategic geographical location, the Malay Peninsula, where the domains of Śailendra/Śrīvijayan Buddhist rulers were located, acted as an important intersection in the traffic of pilgrims plying the maritime routes. Testimony to this fact are the many sealings found at multiple sites in the period from the 6th to the 12th century; some of these objects display (esoteric) Mahāyāna iconography and are inscribed in northeastern Indian scripts, suggesting that they could have belonged to pilgrims from the Subcontinent (Jacq-Heroualc’h 2002: 47). Manuscript CUL Add. 1643 (f. 12r) mentions a Lokanātha on Mount Valavati in Kedah (Kaṭahadvipa). The exquisitely crafted late 8th-century bronze Avalokiteśvaras found in the Chaiya district of modern Thailand and in Bidor (Perak, Malaysia) show close similarities with the Avalokiteśvara found at Wonogiri in Central Java, suggesting a link between those locales (see Sharrock and Bunker, this volume).

As attested to by epigraphic and archaeological evidence, the Cam and Khmer domains were fully integrated in the web of intra-regional Southeast Asian networks connecting the mainland and the Malay Peninsula to Java, Sumatra, and China between the 7th and 10th centuries. Those locales hosted Esoteric Buddhist masters (such as Kīrtipandita and Punyodaya), and were the seats of monastic institutions or temples devoted to the worship of esoteric Mahāyānic Lokāyatas. See Kim 2014: 49, 63, 65 (who erroneously locates Kedah in Indonesia rather than Peninsular Malaysia).

36. See Kim 2014: 49, 63, 65 (who erroneously locates Kedah in Indonesia rather than Peninsular Malaysia).


38. A stele found at An Thái village in Vietnam’s Quang Nam province, dated AD 902, documents an example of a monastery built primarily as a site of Mahāyāna and Vajrayāna worship (Sinclair 2012). Chutiwongs (2005: 80–81) has hypothesized a connection between doctrinal elements featuring in the inscription and the Sanskrit-Old Javanese text San Hyat Kamahāyānikā. Sanderson (2009: 117–18) discusses a few inscriptions from the 9th and 10th centuries that record the installation of esoteric Mahāyānic Lokāyatas—and, at the same time, Śaiva deities—along with the construction and support of associated vihāras, e.g., the Đồng Duong stele of 875 and the Nham Biên stele of 908. The latter inscription relates that the courtier Rā-
Several iconographic features of Wat Phra Maen in Nakhon Pathom, as well as related Buddhist statuary from Dvāravatī, display esoteric overtones, suggesting that esoteric forms of Mahāyāna Buddhism may have evolved there in Theravāda guise.¹⁹

**THE ‘FIRST WAVE’ OF ESOTERIC BUDDHISM (CA. 7TH–EARLY 10TH CENTURY AD)**

The early networks that initiated the expansion of an ‘Esoteric Buddhist package’ from the 7th century were constituted by monks affiliated to related esoteric orders, who travelled—often alongside, or even in the capacity of diplomatic envoys—along the paths opened by long-distance traders that favoured the quick exchange of goods, peoples, and ideas.⁴² Thanks to textual evidence, and especially jadvāra made two trips (siddhayātra, either pilgrimages or diplomatic missions) to Java (Mabett 1986: 302, Green 2014: 80–83). Green 2014 and Schweyer 2009 are surveys of the relevant epigraphic and (art-)historical evidence. On the iconography of Đōng Đụòng and its relationship with the Tantric Kāraṇḍavyūhastūtra; see Woodward 2011.

39. See Revire 2010. For the worship of Bodhisattvas Avalokiteśvara and Vajrapāṇi in central Thailand, perhaps as early as the second half of the 7th century, see Boisselier 1965: 149; Chutiwongs 1984: 221, 256–57; Revire 2010: 98. For a khakkhara finial and several other bronze ritual objects that have close parallels with Esoteric Buddhist material found in Central Java and beyond, see Revire 2009, 2015b: 139, n. 22).

40. All the major figures in the transmission of Esoteric Buddhism to China and Southeast Asia up to the 8th century were monks. However, a category of non-monastic, householder vajrācāryas seems to have become more important than vajrācārya monks in South Asia during the late phase of Vajrayāna in South Asia (modern Balinese ‘Bauddha Brahmins’ may be considered the heirs to this category of householder-practitioners; see Sinclair 2012). A current scholarly desideratum remains to identify the networks of non-institutionalized practitioners, including siddhas and low-caste ritual or performance specialists, who contributed to the spread of forms of Tantrism overseas (see Acri 2014).

41. See Sen (2003: 37–44) on the Tang-sponsored Buddhist diplomatic missions in the 7th century, and further, Sundberg and Giebel (2011) on the diplomatic connections of Vajrabodhi’s journeys. Sinclair (this volume, p. 48) speculates that the diplomatic lines of communication between the Tang capitals and insular Southeast Asia might have been used by Buddhist monks Bianhong and Prajña.

42. P.C. Chakravarti (in Majumdar 1971: 662), citing Pliny the Sino-Japanese biographies of early masters, we are now able to reconstruct, albeit with an element of uncertainty, the probable pedigree and social circle of those prominent individual agents. Those charismatic personalities, more often than not associated with a vigorous activity of translation, commentarial work, and initiation of pupils, travelled—at times tracing the footsteps of their master(s)—both eastwards and westwards along the sea routes between the Indian Subcontinent and Japan. It is probably this network of masters and their disciples that acquired, transformed, and propagated images, texts and devotional practices connected with Buddhist divinities ranging from the Bodhisattvas and Goddesses that were popular in both esoteric and esoteric Mahāyāna milieus, such as Avalokiteśvara, Mañjuśrī, Vajrapāṇi, and Tārā, to the ferocious forms of Vajrapāṇi/Vajrasattva and Heruka (and his hypostases Hevajra and Samvara) that became predominant in Phase Two/Three Vajrayāna.

That the 7th- and early 8th-century networks were crucial for the formation and consolidation of Esoteric Buddhist cults and practices across Maritime Asia is suggested by the ‘archaic’ nature of the theological and ritual framework of major Esoteric Buddhist traditions outside of the Indian Subcontinent. As White (2000: 21) points out:

What we find, in fact, is that the historical time frame in which the transmission (to China, Tibet, Korea, Japan, Southeast Asia) of various Indian Tantric paradigms occurred has invariably proven definitive for the structure and content of the ‘export’ Tantric tradition in question. It is as if the original revelation re-
mained fossilized, like an insect in a block of amber, in the export tradition. This is manifestly the case, for example, with Japanese Shingon—founded by Kūkai (774–835 C.E.)—whose core revelations are the seventh-century C.E. *Mahāvairocana-sūtra* and the *Tattvasaṅgrahā-sūtra*…. Shingon practice remains, in many respects, a preserved specimen of those enshrined in seventh-century Indian paradigms, but with a Japanese overlay…. Similarly, Tibetan Buddhism, with its preponderance of Varjrayāna practice based on revelations found in what would later be classified as the Tantras of Yoga and Supreme Yoga, preserves the Tantric status quo of eighth-century India, from which it was introduced into Tibet by the legendary Vimalamitra and Padmasambhava.

Analogous considerations have been made by Nihom (1994: 189) with respect to Buddhist data preserved on Java and Sumatra. Reflecting a doctrinal situation preceding 8th-century systematizations, they may greatly aid us in attempting to reconstruct the intellectual history of the Tantras in India itself by providing a control relative to the much better known, preserved and studied traditions of Central and East Asia.45

Among the early prominent monks are the Central Indian Atikūta (fl. 650s) and Punyodaya (Chin. 那提, fl. 650s), the Chinese Yijing (635–713),44 Baosiwei (寶思惟), fl. 650s) and Puṇyodaya (Punyodaya, st. *Maṇicintanā*/*Maṇicinti or *Ratnacinta, d. 721), and the South Indian Dharmaruci/Bodhiruci (d. 727). The vectors and initiators of a systematic, fully developed form of Esoteric Buddhism are ‘three great acāryas’ of the mid-‘Tang period, namely the Indian Subhākarasimha (Chin. Shanwuwei 善賢, 637–735),45 Vajrabodhi (Chin. Jingangzhi 金剛智, 671–741),46 and Vajrabodhi’s ordained pupil Amoghavajra (Chin. Bukong 不空, 704–74; probably a native of Samarkand).47 This triad, which inspired various generations of pupils,48 was bound to be associated with a ‘canon’, as it were, of revealed scriptures, commentaries, ritual manuals and their connected practices49 for many centuries to come. Among the 8th- and 9th-century figures related to this triad, and especially to its last member Amoghavajra, were Nāgabodhi (Chin. Longzhī 龍智),50 whose biography remains obscure but who is

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45. He was probably the eldest son of King Buddhakara, the alleged ancestor of the Bhauma-Kara dynasty kings of Odisha (Chou 1945: 251–52, n. 3; Pinte in Orzech, Sørensen and Payne 2011: 340; cf. Tanaka 2014).  
46. According to an account by Zanning, he was a Brahman from South India (Malayakū), whose father served as *purohitā* at the royal court of Kāñcī (Orzech 2011c: 346). Conversely, Lü Xiang’s biography reports that he was the third son of Īśānavarman (yeshanawama 伊舍那靺摩), the *ksatriya* king of a Central Indian dynasty (i.e., the Maukhari), and ‘because he was later recommended to the [Chinese] emperor by Mizhunna (米准那), the general of the king of a South Indian kingdom, he ended up being called a South Indian’ (trans. Giebel, in Sundberg and Giebel 2011: 134). (On the rendering of Chin. Jingangzhi 金剛智 as Vajrabuddhi, see Appendix A).  
47. Chinese biographies of Amoghavajra present contradictory information, describing him as either the son of a Brahman or a merchant from Central Asia. Chou (1945: 322) argues that Amoghavajra’s biographers tried to conceal his embarrassing background as a merchant, which would be undignified for a monk of his rank.

48. Orzech (2011c: 345) has noted that the three monks, who are traditionally referred to by later Chinese disciples and Japanese scholars as the founders of the Chinese Chzenyan school, did not represent themselves as such.

49. These were the *Mahāvairocanasūtra* and *Susiddhi-karamahātantra* (first propagated by Subhākarasimha), the *Sarvatathāgatattvasaṅgraha* (propagated by Vajrabodhi), (versions of) the *Guhyasamāja* (already known to Nāgabodhi), *Sripurāṇa*, *Sarvabuddhasamāyoga*, other revealed scriptures belonging to the *Sarvatathāgatattvasaṅgraha* cycle (Jingangdīng 金刚顶, summarized and propagated by Amoghavajra), as well as Amoghavajra’s *Jingangdīng jing yuifu shibahui zhigui*.  
50. The biographical material on this figure, who is said to have lived for one hundred years and counted among the Mahāsiddhas, is mostly of a supernatural or legendary nature—for example that he was instructed by the Mahāsiddha Nāgārjuna, and, like the latter, resided at Śrīśailam or...
believed to have met Amoghavajra in Sri Lanka in the 740s, and previously Vajrabodhi; Amoghavajra’s Chinese disciples Huilang (745–806); the latter’s Javanese disciple Bianhong (744–810, likely from present-day Afghanistan); Prajña (Chin. Boruo 菩若, alt. Bolaruo 般刺若; ca. 744–810, likely from present-day Afghanistan), disciple of Amoghavajra’s prominent pupil Yuanzhao (d. 800); the Koreans Pulga Saui and Hyecho (both fl. 8th century), disciples of Śubhakarasimha and both Vajrabodhi and Amoghavajra respectively); and the Japanese Kūkai (774–835, Huiguo’s and Prajña’s disciple). The networks of 7th- to 9th-century monks may be visualized on a map of Maritime Asia (Map 1.1, p. 16), where ‘Indian’, ‘Sri Lankan’, ‘Śailendra and Javanese’, ‘Chinese’, and ‘Korean-Japanese’ circles offer a telling picture of the extraordinary period of intra-Asian connectivity that became the hallmark of the rise and spread of Esoteric Buddhist traditions in the course of just two or three generations.

The initial triad formed by Nāgabodhi, Vajrabodhi and Amoghavajra had strong ties with Southern India and Sri Lanka, both of which areas acted as an important hub for the dissemination of Esoteric Buddhism to Southeast Asia. On account of the shared artistic styles and iconographical motifs, Holt (1991: 82) argued that the regions of Pallava South India, Sri Lanka and Southeast Asia ‘constituted a veritable cultural triangle from the seventh into the ninth century’. Noting the ‘pan-Asian influence of the cultural dyad of Pallava India and Sri Lanka’ in the 8th century, Sundberg and Giebel (2011: 153) focus on the status of some Buddhist sects operating in Kāñcī and at the Abhayagiri vihāra as custodians of esoteric texts and oral teachings that played a key role in shaping the mediaeval pan-Asian Esoteric Buddhist paradigm. King Narasimhapotavarman (i.e., Narasimhavarman II Rājasinha, r. 700–728) of Kāñcī granted Vajrabodhi special protection and sent his general ‘Mizhunna’ (Chin. 赤准那) along with him to China on a diplomatic mission. So amicable were the relations between the Pallavas and the Tangs in that period that Narasimharvarman II built a Buddhist ‘Pagoda’ in Nākappaṭṭīnām in honour of the Chinese emperor, allowing him to name it (Seshadri 2009: 109–18). It is, again, through a Pallava link that Vajrabodhi, on the occasion of his visit to Sri Lanka on the way to China, enjoyed the protection of King Mānavarman (‘Śrīśīla’ of Vajrabodhi’s biography), who prior to his coronation in 684 underwent a long exile in Kāñcī, serving as a general (Sundberg and Gielb 2011: 145–46). As argued by Sundberg and Giebel (ibid.),

Such repeated, persistent diplomatic intercourse may serve as an explanatory context for Vajrabodhi’s easy access to the inner sanctum of the Tang court. In fact, given the chronology, one surmises that the welcome arrival of Vajrabodhi or Mizhunna in Guangfù in 719 CE actually instigated the series of intense and cordial diplomatic interchanges between the Chinese and the Pallavas recorded to occur in 720. If so, their salutary effect paralleled the arrival of Amoghavajra in Lankā in 742, where the transmission of religious knowledge and texts between highly adept monks immediately stimulated a high-level religio-diplomatic interchange between the Buddhist Sinhalese king at Anurādhapura and the Tang emperor at Chang’an. A similar occurrence seemingly transpired some half a century later, when the Javanese kings became patrons involved in the Sinhalese dispensations, likely involving precisely this same style of interchange of Tantric texts and, in the Javanese case, a cadre of adept monks as well.

53. Sen (2003: 26) argues that this alliance, and in particular the 720 diplomatic mission (and in general other post-Harṣa South Asian missions), might have had the purpose of contrasting contemporary Arab and Tibetan invasions of areas of the Subcontinent. Equally amicable relations between the Buddhist Tang and Kanauj could be evinced by the 7th-century Buddhist diplomatic missions (ibid.: 34–40), which Sen characterizes as ‘spiritual underpinnings of diplomatic exchanges’.

Kāñcī; yet, his historicity cannot be automatically discounted on those grounds. On this figure, whose Chinese name is variously rendered in secondary sources as Nāgabodhi, Nāgabuddhi, or ‘Nāgaijāna/Nāgajña, see Van der Kuijp 2007, Sundberg and Giebel 2011, and especially Sinclair’s Appendix A in this volume.

51. On the extensive, and seemingly repeated, travels of Hyecho to India and Central Asia, see Deeg 2010.

52. For a list of several other Indian monks who ‘came to the Tang and settled, taught, and translated texts’ in the course of the 9th century, such as Shi Mayue, Bodhivajra, Vajrasidhi, Bodhirṣi, and Prajñacakra, see Orzech 2011b: 328–30.
Map 1.1: Paths travelled by the monks (7th–9th century) between India, mainland and insular Southeast Asia, China, Japan and Korea. (Map by Swati Chemburkar and Andrea Acri)
It would appear that in the Sri Lankan Buddhist milieus—i.e., in the Abhayagirivihāra itself—were found repositories of esoteric texts unavailable in China, and perhaps even inaccessible to travellers to India. As argued by Sundberg and Giebel (2011: 148), it was the quest for these texts, and the desire to receive abhiṣeka in a Sinhalese lineage seemingly associated with either Nāgabodhi or *Ratnabodhi (Chin. Baojue 寶覺), that prompted Amoghavajra to travel to Sri Lanka from China following the footsteps of his teacher Vajrabodhi. Prajñā too returned to South India from China to look for esoteric texts belonging to the Vidyādhara traditions (chiming 持明), and studied yogic techniques under consecration master *Dharmayaśas (Chin. Damoyeshe 達摩耶舍; Copp in Orzech, Sørensen and Payne 2011: 360–61).

So great was the religious aura and political prestige of South Asian centres of Buddhism that the ‘peripheries’ (i.e., outer regions) of the Buddhist cosmopolis tried to link themselves to these centres, thus becoming themselves centres with respect to the new peripheries that were being created as networks moved and the Buddhist frontiers extended—what Sen (2014b: xvii) has described as the emergence of ‘multiple centres of Buddhist discourse’. A case in point is that of late 8th-century Central Java, where a branch of the Sri Lankan Abhayagirivihāra, apparently intended for the use of esoteric-minded Sinhalese Buddhist monks, was established by the Śailendras on the Ratu Boko promontory; indeed, the area in the Kedu plain where Candi Sewu and the Prambanan temple complex were built appears to have been termed Laṅkapura by Prajñā, who studied yogic techniques under *Dharmayaśas (Chin. Damoyeshe 達摩耶舍; Copp in Orzech, Sørensen and Payne 2011: 360–61).

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54. On p. 190 the authors, referring to the biography of Hanguang (T 2061.879b18), mention the Sinhalese ‘Samansthabhadra as Amoghavajra’s ‘final’ initiator (cf. Chou 1945: 290–91; Lokesh Chandra 1993b: 114). Other biographies mention *Nāgajñāna (i.e., Nāgabodhi/Nāgabuddhi 聶智) and *Ratnabodhi (Sundberg and Giebel 2011: 192–93; Sundberg 2014: 77).

55. As is testified to by an 8th-century Siddhamātṛkā foundation inscription (see de Casparis 1950: 11–22, 1961, 1981; Lokesh Chandra 1993a; Sundberg 2004, this volume).

56. See Griffiths 2011a, and compare with Acri 2010, arguing that Rāvana’s defeat by Rāma and Lakṣmana in (2006), and Sundberg (2004, 2011, this volume), the Abhayagirivihāra-related structures of Ratu Boko share with their Sinhalese prototypes—that is to say, some of the Abhayagiri peripheral structures apparently populated by ascetic monks—common architectural motifs, such as double meditation platforms.

The use of Siddhamātṛkā—a (north)eastern-Indian variety of script, native to Nālandā—in foreign lands is well documented in extensive Esoteric Buddhist textual corpora from China and Japan. The numerically small, but culturally significant, corpus of inscriptions using this script scattered over disparate locales of Maritime Asia, besides being a token of the networks of Esoteric Buddhist specialists who plied those routes, may constitute ‘an attempt to be cosmopolitan, to connect with a respected cultural powerhouse, and implies the rapid dissemination of knowledge and of religious innovation’ (Sundberg and Giebel 2011: 200, n. 126). Pāla-sponsored Nālandā in the northeastern part of the Subcontinent, apart from being an old and illustrious centre of Buddhism, was also the cultural centre that dictated the predominant religious and aesthetic paradigm in the Buddhist cosmopolis from the 8th to the 13th century. As documented by the dual Siddhamātṛkā/Grantha inscription of Narasimhapotavarman to his early 8th century cave-temple Atiraṇacaṇḍeśvara and in the Kailāsanātha, even a fervently Śaiva dynasty such as the Pallava was eager to anchor itself to it by conforming to a certain ‘Nālandā idiom’ (Sundberg and Giebel 2012: 199, n. 126; Francis 2013).

Nālandā, which by the 9th century was ‘the center of a new Asia-wide Tantric network’ (Hall 2010: 21), constituted—alongside the South Indian Pallava realms and Sri Lanka—the common nexus (whether real or imagined) between many of the agents who played a role in shaping early Esoteric

Sri Lanka as described in the Sanskrit and Old Javanese versions of the Rāmāyaṇa represents an allegory for socio-political events of mid 9th-century Java—that is, the shift from an extended royal Buddhist favouritism to a new Śaiva course. See also Griffiths 2013 for a hypothesis concerning the existence of multiple Abhayagiris in more than one part of Southeast Asia—southern Cambodia, southern Vietnam, peninsular Thailand, besides the one on Java’ (p. 75), and cf. Conti 2014: 384 and 394, n. 3.
Buddhist networks. It was in the milieu of Nālandā that Śubhākarasimha, Vajrabodhi and Prajñā were instructed and received their ordination before undertaking the career of travelling masters; Vajrabodhi received royal patronage in Kāñcī and Śrī Lanka. Influence from both the Pallava realms and Nālandā may be detected at the enigmatic Rājināvihāra, a Pallava-style late 8th-century temple built in a locale just north of Kandy, which now bears the name of Nālandā. The temple (gedīge) features erotic reliefs of transgressive character (Mudiyane 1967: 71; Chandwimala 2013: 146–47), and Doha-Nālandā, which records his sponsorship of a temple of Dong Dương in Campā (Woodward 2011: 33). This predicament may have been related to the disruption of diplomatic, religious, and trade networks between locales where support for Esoteric Buddhism, or Buddhism altogether, by the ruling elites faded away, such as China under Emperor Wuzong (r. 814–46), Tibet under King Lang Darma (r. 838–41), Śrī Lanka under Sena II (r. 854–89) and his successors (Sundberg 2014), and Java from around 850 onwards (see the chapters by Casparis, 1956). While his effort is valuable insofar that it was at the basis of the dynastic theory proposed by de Jordaan’s revised chronology, which highlights the need to re-edit and translate that important inscription, the colophon of one Kumāraghoṣa, a royal preceptor (Sarkar 1971 I: 37, 45). The word lapanditā (= gaudpanditā) featuring in the undated Panjang rock inscription at Karimun Besar in the Riau archipelago has been interpreted as having been engraved by a monk from Bengal (i.e., Nālandā?) en route to mainland Sumatra or Java by Caldwell and Hazlewood (1994). As has long since been noted, Nālandā played a major role in the transmission of artistic motifs to Southeast Asia since the 8th century (Bernet Kempers 1993, Lunsingh Scheurleer and Klokke 1988).

The period from the middle of the 9th up to the end of the 10th century has been characterized as a ‘dark age for Buddhism’ in China and Tibet (Matsunaga 1978: viii), and one also notes a reduced scale of Buddhist building activities in Southeast Asia, with the single exception of the temple of Dong Dương in Campā (Woodward 2011: 33). This predicament may have been related to the disruption of diplomatic, religious, and trade networks between locales where support for Esoteric Buddhism, or Buddhism altogether, by the ruling elites faded away, such as China under Emperor Wuzong (r. 814–46), Tibet under King Lang Darma (r. 838–41), Śrī Lanka under Sena II (r. 854–89) and his successors (Sundberg 2014), and Java from around 850 onwards (see the chapters by...
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Sundberg and Acri in this volume). Another factor may have been the contraction of the Pāla empire from ca. 850 to 977, which could have caused a decline in royal benefactions to Esoteric Buddhism in northeastern India (Sanderson 2009: 96–97) and a negative ‘cascade effect’ overseas, especially with respect to maritime trade.

THE ‘SECOND WAVE’ OF ESOTERIC BUDDHISM (CA. LATE 10TH–13TH CENTURY AD)

Nālandā-style imagery of Esoteric Buddhist divinities (re)appears in 11th- and 12th-century Angkor, Bagan, the Malay Peninsula, and East Java. As argued by Skilling (2007: 97),

When we take into account other inscriptions and icons from the Malay Peninsula, together with epigraphic and iconographic evidence from Cambodia, we can conclude that in the eleventh century the Malay Peninsula and the Khmer lands participated in the intellectual, ritual, and iconographic world of Pāla culture, which at that time spread throughout the region, from India to Tibet and insular Southeast Asia.

Vestiges of Vajrayāna Buddhism in 10th- and 11th-century Java may be found in the groups of bronzes from Surocolo and Nganjuk, which have been suggested to represent esoteric māndalas dominated by Vajrasattva, the central deity of Phase Two and Three Esoteric Buddhism. Sumatra hosted renowned centres of Buddhist activity and higher learning by the 7th century, as documented by Yijing’s account, yet the archaeological remains

Buddhist teachers of his time, travelled far and large across the ‘Five Indias’ and finally settled in Śrīvijaya (Śrībhoja).

63. See Woodward 2004; Reichle 2007; Griffiths 2014c; Miksic (this volume); Kandahjaya (this volume). Pointing out that Buddhism thrived in Sumatra until the 14th or even 15th century, Griffiths (2014c: 239) makes the following relevant point: ‘Despite being right across the Bay of Bengal from Sri Lanka, not to mention the proximity of Burma and Thailand, there is not a single written trace of influence of Pali Buddhism. On the contrary, we have evidence for the study and use of a variety of Sanskrit texts, both Mahāyānasūtras and Tantras’.

64. Isaacson and Sferra (2014: 70–71, n. 51) note that while it has become standard practice to prefer the form Atiśa (from Atīśa?), the form Atiśa is just as problematic; they venture the speculation that the latter might be a corruption or ‘transformation’ of Adhiśa, which has the merit of being attested as a name or epithet. Kano (2016: 83, n. 2) refers to an interlinear gloss in a Tibetan manuscript from the unpublished Tanjur Canon by Ḫpa losel (ca. 1270–1355), reading a dhe [or rhe] śa.

65. Thus according to Newman (1991: 72–73), who identifies Suvarṇadvīpa with Java rather than Sumatra, whereas according to Skilling (1997: 190) the actual site might have been Kedah (contrast Schoterman, this volume, and Kandahjaya 2014). The Blue Annals assert that Piṇḍo (perhaps standing for paṇḍapātika, a monk living on alms?) hailed from the Southern Seas, and was a disciple of Gser gling pa of Suvarṇadvīpa (Gnoli and Orofino 2006: 67). On Atiśa’s (largely imaginative) account of his eventful oceanic journey to Suvarṇadvīpa, see Declerq 1995.
1019 founded a Buddhist temple in Nākappaṭṭīṇam (Skilling 1997, 2007). Van der Kuip (2003: 420, n. 6) identifies this Dharmakīrti with the author of a commentary to the Hevajra-tantra (Netravibhandha, Tōh. 191). Both Aṭīśa and Dharmakīrti were fervent devotees of Tārā, a deity that was popular in insular Southeast Asia.

A renewed focus on Esoteric Buddhism, no doubt triggered by royal patronage, can be detected between the 10th and 12th centuries in polities of mainland Southeast Asia, including the domains of the Cams along the Vietnamese littorals. Chutiwongs (2006) discusses information concerning the construction of a shrine to Heruka in Campê by Śrī Śuryavarmadeva towards the end of the 12th century, during Khmer occupation. The sanctuaries of Phimai and Si Thep, as well as several temples built at Angkor under the reign of Jayavarman VII, attest to Tantric iconographic programmes, as do the numerous bronze statues unearthed in the region.66 The temple of Abeyadana (late 11th century) at Bagan displays Tantric features, arguably as the result of contemporary religious links and marital relations between the rulers of Bagan and those of Paṭṭīkerā in Bengal.67 As suggested

66. For an up-to-date, synthetic overview of Esoteric Buddhism at Phimai, and in the Khmer domains in general, see Conti 2014; on Angkorean sites such as Si Thep, Angkor Thom, the Bayon, Banteay Chhmar, etc., see the studies by Woodward (1981, 2012) and Sharrock (2006, 2007, 2009, 2011a, 2012, 2013a, 2013b). The Vajrayāna character of the Esoteric Buddhist (and Tantric Saiva) iconography that developed at the East Javanese courts of Kaḍiri, Śiṅhasāri and Majapahit69 and scant epigraphic sources as a congregation of ‘debased’ monks devoted to sex, alcohol, dance and animal sacrifice, and worshipping local spirits (nat) and Hindu deities. Some scholars, such as Duroiselle, Ray and Luce, considered them followers of Tantric cults, whereas Than Tun and most contemporary specialists of Myanmar) regard them as having little if anything to do with Tantrism (see S. Bhattacharya 1994). Championing the latter school of thought, Bautze-Picron (2003: 121–23, 199, 226 nn. 69, 70) has cast doubt on the view, advanced by earlier scholars mainly on account of the sexual nature of some of their friezes, that the Hpayathonzu (12th century) and Nandamanya (13th century) temples at Bagan were informed by a Tantric iconographic agenda. Further research is needed to clarify this issue.

68. The Hevajra-tantra appears to have enjoyed some popularity in Sumatra (and, conversely, Suvarṇadvīpa is mentioned by this text: see Schoterman this volume, p. 115). Besides the circumstantial evidence mentioned above attributing to Dharmakīrti from Suvarṇadvīpa the authorship of a commentary to the Hevajra, and the epigraphic evidence presented by Griffiths (2014c), must be considered the inscription of Saruaso I, which praises the crown prince Anāṅgavarman, son of Ādityavarman, the last line of which mentions his ‘daily meditation on Hevajra’ (Hevajra-nityāsmṛtiḥ). It would thus seem that Ādityavarman was following the same ideology and ritual technology adopted earlier by Kublai Khan and Kṛtana-gara, who equated themselves to the central deity of the mandalas of Buddhist Tantras such as the Guhyasamāja or the Hevajra (see Hunter in Kozok 2015: 324–27; Bautze-Picron 2014b, Reichle 2009: 139; O’Brien 1993).69 Besides the statuary and architectural vestiges, 14th-century Old Javanese literary sources, such as the kakavin Sutasoma, attest to Esoteric Buddhist cults. In his Deśavarmāna (80.1) Prapañca refers to the existence of two
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shares features with the Sumatran one, as attested in Biaro Bahal and Muara Takus, and in the Mahâkâla statue attributed to Adityavarman. The wrathful deity Hevajra and his Heruka instantiation are represented in a number of Khmer bronzes (Lobo 1994), and perhaps made into cult objects at Banteay Chhmar and other sanctuaries (Sharrock 2006, 2013a; Conti 2014: 273–77; contrast Green 2013), while Tantric Yoginis prominently feature at the Bayon and Phimai (Sharrock 2013b). Heruka/Hevajra was part of the royal cults of the Mongol Khans (Bade, this volume), while Mahâkâla was worshipped by the elites of the Dali kingdom in Yunnan (Bryson 2012).

It may be argued that the fierce, military-orientated iconography of Phase Two and Three of Esoteric Buddhism represents an ‘antagonistic paradigm’ reflecting socio-political contingencies. This paradigm, recently revisited by Verardi (2011), posits a hostility or antagonism between the two religions as reflected in either actual historical events, such as various forms of competition for royal support, devotees and resources, occasional interethnic or interreligious violence, or iconographic representations, such as Saiva gods being trampled upon or subdued by Buddhist deities, and vice versa. Whereas modern scholarship often emphasizes the ‘inclusive’ and ‘syncretic’ character of Buddhism, whether or not in its esoteric varieties, in many Asian contexts, much of the extant textual and artistic evidence points to the existence of a clear divide between Buddhism and competing religious systems, at least in elite milieux (see, e.g., Miksic 2010). Having said that, it is beyond doubt that certain regional contingencies and personal idiosyncrasies favoured the formation of actual syncretic cults uniting doctrinal and ritual elements pertaining to distinct traditions—a paradigmatic case being the ‘Śiva-Buddha cult’ inaugurated by, and revolving around the figure of, East Javanese king Kṛtanagara (r. 1268–92), who leaned towards Esoteric Buddhism while at the same time patronised Tantric Śaivism.71

Unfavourable international political developments, and most notably the decline of Buddhism in northern India, may have triggered the rise of new networks at the turn of the 13th century. Nâlandâ and Vikramaśila having been razed, scholars and artisans fled to Nepal and Tibet (von Schroeder 1981: 311), and possibly further afield to Southeast Asia.72 A Nepalese (Newar) influence on the Khmer architecture of that period has been noted by Filliozat (1969: 47) and Sharrock (2007: 252); as pointed out by O’Brien (this volume), a diaspora of Newar artisans existed in the Sino-Tibetan sphere, and these artisans became popular at Khubilai Khan’s court at a time that coincides with the reign of Kṛtanagara, Khubilai’s Javanese adversary, and the patron of Candi Jago. Northeastern Indian or Newar elements have long since been noted in the statuary and decorative features of East Javanese Buddhist art;73 similarly, the Nâgârî-inscribed Buddhist inscription associated with Kṛtanagara (see Sidomulyo 2010: 107–8). 71.

The term ‘syncretism’ has often been (and continues to be) misused; cf. Estève 2009.

71. For a reevaluation of this cult in the East Javanese Sinhãsãri context, see Hunter 2007 and, for an added discussion on Majapahit and Bali, Acri 2015. A Bhairavika priest and other categories of Saiva clergymen as well as mainstream Brahmans are mentioned in the Mula Maharuã inscription associated with Kṛtanagara (see Sidomulyo 2010: 107–8).

72. Târanâtha states that most of the Buddhist scholars of madhyadesã fled to mainland Southeast Asia (i.e., the kingdoms of Pegu, Campã, Kamboja, etc.) after Magadha was invaded by the Turks (D. Chattopadhyaya 1980: 330). See O’Brien 1993: 252–55, this volume. Schoterman (1994: 168) noted that the five main statues of Bodhisattvas at Candi Jago were executed according to the teachings of the Sanskrit Amoghapâsasâdhana, which was written by Śâkyasîrîbhadrâ in northern India around the year 1200, and may have reached Java shortly thereafter. Lunsingh Scheurleer (2008: 296–98) underlines the northeastern Indian influence on a sculpture of Java in the Sinhãsãri period and also of Sumatra in AD 1286 by Kṛtanagara,
dhist statues from Candi Jago, and the occurrence of the words bharāla (‘god’) and bharālī (‘goddess’) in a number of inscriptions associated with Kṛtanagara, support a possible northeastern Indian, and especially Newar, link.74

SUMMARY OF THE CONTRIBUTIONS

In dealing with various aspects and traditions of Esoteric Buddhism and intra-Asian maritime connections from the 7th to the 13th century, the fourteen essays in this volume are not grouped according to strictly chronological or geographical criteria, but follow a thematic and disciplinary arrangement, under the three headings of ‘Monks, Texts, Patrons’ (Part I), ‘Art, Architecture, and Material Culture’ (Part II), and ‘Buddha-Śaiva Dynamics’ (Part III). Rather than focusing uniquely on region-specific manifestations of Esoteric Buddhism, whether bound to modern nation-states or not, this volume embraces a perspective emphasizing the (maritime) intra-Asian interactions—also intended as the dialectic encounters between cultures and religions, doctrines and practices, and their human carriers—that occurred across geographical and cultural boundaries in the course of several centuries. Within this framework, it reveals the limits of a historiography that is premised on land-based, ‘northern’ pathways of transmission of (esoteric varieties of) Buddhism across the Eurasian continent, and advances an alternative—actually, complementary—historical narrative that takes the ‘southern’ pathways, i.e. the sea-based networks, into due account. In harmony with this perspective, several studies in the present collection focus on what is now the Indonesian Archipelago—a strategic geographical area that has yielded significant vestiges of its glorious Buddhist past, yet is still underrepresented in contemporary scholarship.

Capitalizing on recent Buddhological research, the essays integrate and link together perspectives from various disciplines (philology, history, art history, archaeology, and religious studies) and area-studies expertise. Concomitantly, a number of studies deal with textual materials in various pre-modern languages. This reflects the ongoing effort to lay a solid foundation upon which theoretical and historical analysis could rest, especially given the sheer amount of primary sources, in the form of manuscripts and inscriptions, which urgently await to be catalogued, edited, and studied.

Following on the main themes set out in this introductory Chapter 1, Part I explores a paramount aspect of intra-Asian interactions, namely the circulation of monks and texts. This aspect is inextricably linked to the adoption (and adaptation) of Esoteric Buddhist cults and rituals by the contemporary ruling elites, which form the primary focus of its two concluding chapters.

Chapter 2, ‘Coronation and Liberation According to a Javanese Monk in China: Bianhong’s Manual on the abhiseka of a cakravartin’, by Iain Sinclair addresses the links between 8th-century Tang China and insular Southeast Asia. It examines a key figure in the transmission of Vajrayāna lineages between the Javanese and Sinitic worlds, namely a monk known by his Chinese name Bi-anhong, who in the 8th century travelled from his native island of Java to the Tang capital to study Esoteric Buddhism under Huiguo, one of Amoghavajra’s pupils. The chapter includes an edition and annotated translation of the sole work attributed to him, the Ritual Manual for Initiation into the Great Mandala of the Uṣṇīṣa-Cakravartin. Sinclair argues that the Manual embodies the interest in conversion and state protection shared by other Esoteric Buddhist masters moving through South, East and Southeast Asia at the time.

The islands of Java and Sumatra and their overseas connections provide the setting of the next two chapters. Hudaya Kandahjaya’s ‘Saṅ Hyaṅ Kamahāyānikan, Borobudur, and the Origins of Esoteric Buddhism in Indonesia’ (Chapter 3) focuses on the pre 10th century Sanskrit-Old Javanese Esoteric Buddhist compendium Saṅ Hyaṅ...
Having discussed the relationship of this unique scripture with Esoteric Buddhist texts in Sanskrit, Tibetan, and Chinese, Kandahjaya provides preliminary answers to some key questions concerning its date and doctrinal inspiration, the milieu of its authorship, and its relationship with the Central Javanese Buddhist monument Borobudur. His analysis reveals that this text, which contains early esoteric material of possible northeastern Indian provenance, played an important role in the development of Esoteric Buddhist traditions in Indonesia; concomitantly, data from the Archipelago cast new light on the genesis of Esoteric Buddhism across the Buddhist cosmopolis, suggesting that the development of esoteric teachings could have occurred earlier than has been hitherto assumed.

Chapter 4 (‘Traces of Indonesian Influences in Tibet’) is an English translation by Roy Jordaan and Mark Long of a too rarely cited Dutch essay published as the pamphlet *Indonesische Sporen in Tibet* in 1986 by Jan Schoterman (d. 1989). This short yet seminal essay merits a re-edition and English translation insofar that it discusses the fascinating, and understudied, links between the Sumatran kingdom of Śrīvijaya and Tibet in the light of the accounts of the lives of famous Buddhist monks—such as Atiśa—and other primary sources in Sanskrit and Tibetan.

The two concluding chapters of Part I focus on the crucial issue of Esoteric Buddhism and royal sponsorship against the background of intra-Asian connections. Geoffrey Goble’s ‘The Politics of Esoteric Buddhism: Amoghavajra and the Tang State’ (Chapter 5) focuses on the incorporation of Esoteric Buddhism in the bureaucratic imperial state of 8th century Tang China. Taking this as a case study, he suggests that the spread of early Esoteric Buddhism in Maritime Asia was driven by the tradition’s guiding ethos of hegemony, control, and power and its consequent appeal to members of the ruling class.

The prominently historiographical Chapter 6, ‘(Spi)ritual Warfare in 13th-Century Asia? International Relations, the Balance of Powers, and the Tantric Buddhism of Kṛtanagara and Khubilai Khan’, by David Bade examines the connections between, and explanations proposed for, the Tantric Buddhism and political actions of East Javanese ruler Kṛtanagara and the Mongol Khubilai Khan in light of recent scholarship on Tantric developments in the Buddhist world of the 13th century, as well as Rosenstock-Huessy’s theory of religion.

In Part II we shift to the realm of the visual arts, architecture, and material culture within the context of intra-Asian connections. Its six chapters consider the circulation of Esoteric Buddhist iconography and architectural motifs between South and Southeast Asia, from the disciplinary perspective of art history and archaeology.

The first four chapters are set against the background of north- and southeastern India. This extensive geographical area, which includes Bangladesh and the modern Indian states of Bihar, Jharkhand, Odisha and West Bengal, has been recognized as one of the cradles of Esoteric Buddhism, and in general as a major source of inspiration for the arts of Tibet and Southeast Asia. The discussion is opened by Claudine Bautze-Picron in Chapter 7, ‘Images of Devotion and Power in South and Southeast Bengal’, which focuses on Esoteric Buddhist art, and in particular on images of the Buddha and other Buddhist deities, in eastern India from the 8th up to the 12th century. Bautze-Picron examines such different types of production as stone, terracotta, stucco or cast images, manuscript illuminations, cloth-paintings and murals, and sees them as reflecting contemporary religious values and daily concerns, as well as historical and socio-political factors—including the relationship between Buddhism, Brahmanism, and political power.

Swati Chemburkar’s ‘Borobudur’s Pāla Forebear? A Field Note from Kesariya, Bihar, India’ (Chapter 8) continues this line of enquiry, addressing the ‘paradigm-shift’ in Buddhist texts, ritual and sacred architecture brought about by the rise of the Pāla dynasty in 8th century Eastern India, which sent cultural waves across the expanding maritime and land trade routes of Asia. Chemburkar focuses on architectural breakthroughs, and argues that the design of a circular mountain *stūpa* of Buddhas at Kesariya (Bihar) was the precedent that made possible the celebrated and much more elaborate structure of Borobudur in Java.

Natasha Reichle’s ‘Imagery, Ritual, and Ideology: Examining the Mahāvihāra at Ratnagiri’
(Chapter 9) investigates another important Buddhist site of Eastern India, namely the main monastery of Ratnagiri in Odisha. Having elaborated on the Mahāyāna roots of the monastery and the later esoteric developments, Reichle notes that aspects of the esoteric iconography found at Ratnagiri are also seen at temple sites in Java and Sumatra, and may reflect similarities in the development of religious practices across insular Southeast Asia.

In Chapter 10, ‘Seeds of Vajrabodhi: Buddhist Ritual Bronzes from Java and Khorat’, Peter Sharrock and Emma Bunker trace a link between Eastern India and the Khmer Khorat plateau via the Malay Peninsula. Expanding on recent research focused on the role of Vajrabodhi in spreading esoteric teachings across the Buddhist ecumene, the authors argue that the little-known Buddhist bronzes from Khorat are part of the same cross-cultural expansion of Esoteric Buddhism over the seas between India and China that opened with Vajrabodhi’s momentous journey.

The concluding two chapters of Part II are set in Sumatra and East Java respectively—two locales that, as we have seen in previous chapters, were at the heart of a thick web of overseas religious, economic, and diplomatic networks. Chapter 11, ‘Archaeological Evidence for Esoteric Buddhism in Sumatra, 7th to 13th Century’, by John Miksic presents an overview of recent archaeological discoveries of vajras and inscribed gold objects in Sumatra and under the water near the island. These discoveries, as well as Sumatra’s rich heritage of Buddhist monuments, lend support to the hypothesis that this area was a significant centre of Esoteric Buddhist activity during an important phase of the genesis and growth of that religious orientation, and that several streams of Buddhist thought and practice coexisted in various areas of the island for more than half a millennium.

Kate O’Brien’s ‘The Tale of Sudhana and Manoharā on Candi Jago: An Interpretation of a Series of Narrative Bas-reliefs on a 13th-Century East Javanese Monument’ (Chapter 12) focuses on some narrative reliefs at the temple of Jago, the Buddhist shrine commissioned in AD 1268 by King Kṛtanagara. She proposes that an unidentified series of reliefs represent the tale of the Bodhisattva prince Sudhana and the kinnari princess Manoharā, which on the one hand accords with the mandalic programme of the shrine, and on the other reflects the Esoteric Buddhist ideal of kingship embraced by Kṛtanagara (and, perhaps, by his contemporary rival Khubilai Khan).

Part III comprises three chapters that add an important dimension to the discussion, namely the relationship between the cognate religious and ritual systems of Esoteric Buddhism and Tantric Śaivism. Coexisting in many contexts, yet often rivalling each other, these two traditions contributed to shape the religious discourse across Maritime Asia in the mediaeval period, giving rise to phenomena of hybridity, dialectics, appropriation, or antagonism.

The first two essays primarily analyse sets of textual and archaeological evidence from Java, linking them to contemporary developments in overseas regions. In Chapter 13, ‘Once More on the “Ratu Boko Mantra”: Magic, Realpolitik, and Bauddha-Śaiva Dynamics in Ancient Nusantara’, I build on the work of previous scholars on the Esoteric Buddhist mantra in Sanskrit inscribed on a circa 8th-century gold foil recovered from the Ratu Boko prominence in Central Java, and identify two hitherto unnoticed attestations of it in two sources from Bali—one in a Sanskrit Buddhist hymn (the Pañcakāṇḍa), the other in a Sanskrit-Old Javanese Śaiva text (the Ganaṇapati-tattva). Through an analysis of related textual sources from the Indian Subcontinent and East Asia, my study casts new light on the context and function of the Ratu Boko artefact, and elaborates on the religious and socio-political scenarios opened up by it.

Jeffrey Sundberg’s ‘Mid-9th-Century Adversity for Sinhalese Esoteric Buddhist Exemplars in Java: Lord Kumbhayoni and the ’Rag-wearer’ Pañhusukūlika Monks of the Abhayagirivihāra’ (Chapter 14) examines the relationship between two chronologically and thematically distinct sets of late 8th- to early 9th-century lithic structures and inscriptions at the southern end of the Ratu Boko promontory in Central Java: the first is Buddhist and relates to the Sinhalese monks of the famous Abhayagirivihāra, the second is Śaiva and is connected to the Javanese nobleman Pu Kumbhayoni. The essay seeks to offer a plausible narrative about how the Bauddhas and the Śaivas intersected in AD 856 on that small
patch of Central Java, arguing that the Ratu Boko archaeological remains mirror an important event in the course of Buddhism in Asia: the emphatic AD 854 reversion of Sinhalese Buddhist kings from the Mantranaya to the Theravāda in the wake of the traumatic sacking of Anurādhapura after a circa 840 battle seemingly fought in the grounds of the Abhayagiri itself. Sundberg points out that the loss of Sri Lanka was part of the dimming of prospects and support for Esoteric Buddhists across Asia in the same decade. The appended Annex, ‘Further Considerations of the Ratu Boko Mantra’, offers additional insights on the inscribed gold foil discussed by myself in Chapter 13.

In the final Chapter 15, ‘A Śaiva Text in Chinese Garb? An Annotated Translation of the Suji liyan Moxishouluo tian shuo aweishe fa’, by Rolf Giebel we return to Tang China. Giebel takes up The (Garuda) Āveśa Rite Explained by the God Maheśvara Which Swiftly Establishes Its Efficacy, being a Chinese translation, attributed to Amoghavajra, of an unidentified original (South Asian?) Sanskrit source. This text, the greater part of which describes the use of child mediums in rites of spirit possession for the purpose of divination, would seem to be entirely devoid of any Buddhist content; given the many elements belonging to the Gāruḍa strand of Tantric Śaiva literature, this source may be indeed regarded as a Śaiva text in Chinese Esoteric Buddhist garb.

The volume is closed by two Appendices. Appendix A, ‘The Names of Nāgabuddhi and Vajrabuddhi’ by Iain Sinclair, makes a persuasive argument in support of a reinterpretation of the names of the famous Esoteric Buddhist masters popularly known as ‘Nāgabodhi’ and ‘Vajrabodhi’. Appendix B, ‘Notes on the Alleged Reading vālaputra on the Pikatan Funeral Stele’ by Jeffrey Sundberg, engages with de Casparis’ reading on the Śivagṛha Central Javanese inscription, which bears on the hypothesis of an end to Buddhist Śailendra rule in Java.

The collective body of work presented in this volume highlights the important role played by Esoteric Buddhism in shaping maritime intra-Asian connectivity from the 7th century onwards, and in influencing many cultural aspects of the local and cosmopolitan societies throughout Buddhist Asia to the 13th century and beyond. The resulting perspective contributes to transcend—in fact, overturn—the still dominant paradigm regarding Esoteric Buddhism as a marginal, or even aberrant and degenerate, phenomenon as opposed to mainstream Theravāda and Mahāyāna Buddhism in many Asian contexts. Indeed, one may even say that by the 10th century, Esoteric Buddhism had virtually become identical with ‘Buddhist practice’ throughout much of Asia.